

# Differential Forms in Algebraic Topology: Connections and Curvature on Vector Bundles

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## Main References

- Sections 7, 10, 11 & 22 of Tu2017.
- Section 5.1 of Chern-Chen.

# Connections on a Vector Bundle

## Setup

- $E$  is a vector bundle over a smooth manifold  $M$ .
- $\Gamma(E)$  is the  $C^\infty(M)$ -module of smooth sections of  $E$ .

## Definition

A **connection** on  $E$  is an  $\mathbb{R}$ -bilinear map,

$$\nabla : \mathcal{X}(M) \times \Gamma(E) \longrightarrow \Gamma(E) \quad (X, s) \longrightarrow \nabla_X s.$$

satisfying the following two properties:

(i)  $C^\infty$ -linearity in  $X$ :

$$\nabla_{fX}s = f\nabla_X s \quad \forall f \in C^\infty(M).$$

(ii) Leibniz Rule in  $s$ :

$$\nabla_X(fs) = (Xf)s + f\nabla_X s \quad \forall f \in C^\infty(M).$$

Here  $X$  ranges over  $\mathcal{X}(M)$  and  $s$  ranges over  $\Gamma(E)$ .

# Connections on a Vector Bundle

## Example

An affine connection is just a connection on the tangent bundle  $TM$ .

In the same way as with affine connections, by using a partition of unity argument we get:

## Theorem

*Every smooth vector bundle admits a connection.*

# Curvature of a Connection

## Setup

- $\nabla : \mathcal{X}(M) \times \Gamma(E) \rightarrow \Gamma(E)$  is a connection on  $E$ .

## Definition

The **curvature** of  $\nabla$  is the bilinear map,

$$\begin{aligned} R : \mathcal{X}(M) \times \mathcal{X}(M) &\longrightarrow \text{End}(\Gamma(E)), \\ R(X, Y) &= \nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X, Y]}. \end{aligned}$$

## Remark

- If  $X, Y \in \mathcal{X}(M)$  and  $s \in \Gamma(E)$ , then  $R(X, Y) \in \text{End}(\Gamma(E))$ , and so  $R(X, Y)s \in \Gamma(E)$ .
- Therefore, we may regard the curvature as a map

$$\begin{aligned} R : \mathcal{X}(M) \times \mathcal{X}(M) \times \Gamma(E) &\longrightarrow \Gamma(E), \\ (X, Y, s) &\longrightarrow R(X, Y)s. \end{aligned}$$

## Proposition

The curvature  $(X, Y, s) \mapsto R(X, Y)s$  is  $C^\infty(M)$ -linear in  $X$ ,  $Y$ , and  $s$ .

# Metric Connections

## Definition

A **Riemannian metric** (or **Euclidean metric**) on  $E$  is the datum for each  $p \in M$  of an inner-product product  $\langle \cdot, \cdot \rangle_p$  on  $E_p$  that depends smoothly on  $p$  in the following sense: for all sections  $s_1, s_2 \in \Gamma(E)$  the function  $M \ni p \rightarrow \langle s_1(p), s_2(p) \rangle_p \in \mathbb{R}$  is smooth.

## Example

A Riemmanian manifold is manifold together with a Riemannian metric on its tangent bundle.

## Definition

A **Riemannian bundle** is a smooth vector equipped with a Riemannian metric.

## Theorem

*Every smooth vector bundle admits a Riemannian metric.*

## Definition

A **metric connection** on a Riemannian bundle  $(E, \langle \cdot, \cdot \rangle)$  is a connection  $\nabla$  on  $E$  that is compatible with the metric, i.e.,

$$\langle \nabla_X s_1, s_2 \rangle + \langle s_1, \nabla_X s_2 \rangle = X(\langle s_1, s_2 \rangle), \quad X \in \mathcal{X}(M), \quad s_i \in \Gamma(E).$$

## Theorem

*Every Riemannian bundle admits a metric connection.*



# Local Operators

## Setup

- $E$  and  $F$  are smooth vector bundles over  $M$ .
- $\Gamma(E)$  and  $\Gamma(F)$  are the  $C^\infty(M)$ -modules of smooth sections.
- If  $U \subseteq M$  is open, then  $\Gamma(U, E)$  (resp.,  $\Gamma(U, F)$ ) is the  $C^\infty(U)$ -module of smooth sections of  $E$  (resp.,  $F$ ) over  $U$ .

## Definition

A linear operator  $\alpha : \Gamma(E) \rightarrow \Gamma(F)$  is a **local operator** if, given any section  $s \in \Gamma(E)$  and any open  $U \subseteq M$ , we have

$$s = 0 \text{ on } U \implies \alpha(s) = 0 \text{ on } U.$$

## Remark

Equivalently,  $\alpha$  is a local operator if

$$\text{supp}(\alpha(s)) \subseteq \text{supp}(s) \quad \forall s \in \Gamma(E).$$

## Example

If  $E$  and  $F$  are the trivial line bundle  $M \times \mathbb{R}$ , then

$$\Gamma(E) = \Gamma(F) \simeq C^\infty(M).$$

Any (smooth) vector field  $X : C^\infty(M) \rightarrow C^\infty(M)$  then is a local operator.

## Proposition

Any  $C^\infty(M)$ -linear operator  $\alpha : \Gamma(E) \rightarrow \Gamma(F)$  is a local operator.

# Local Operators

## Lemma

Let  $U \subseteq M$  be an open set. Given any  $s \in \Gamma(U, E)$  and  $p \in U$ , there is a global section  $\tilde{s} \in \Gamma(E)$  such that

$$\tilde{s} = s \quad \text{near } p.$$

## Theorem

Let  $\alpha : \Gamma(E) \rightarrow \Gamma(F)$  be a local operator. Given any open  $U \subseteq M$ , there is a unique linear operator  $\alpha_U : \Gamma(U, E) \rightarrow \Gamma(U, F)$ , called the *restriction* to  $U$ , such that

$$\alpha(s)|_U = \alpha_U(s|_U) \quad \forall s \in \Gamma(E).$$

## Remark

The operator  $\alpha_U : \Gamma(U, E) \rightarrow \Gamma(U, F)$  is defined as follows:

- If  $s \in \Gamma(U, E)$  and  $p \in U$ , then

$$\alpha_U(s)(p) := \alpha(\tilde{s})(p),$$

where  $\tilde{s} \in \Gamma(E)$  is such that  $\tilde{s} = s$  near  $p$ .

- If  $\tilde{s}, \bar{s} \in \Gamma(E)$  are such that  $\tilde{s} = \bar{s} = s$  near  $p$ , then  $\tilde{s} - \bar{s} = 0$  near  $p$ .
- As  $\alpha$  is a local operator, we then have

$$\alpha(\tilde{s}) - \alpha(\bar{s}) = \alpha(\tilde{s} - \bar{s}) = 0 \quad \text{near } p.$$

- In particular,  $\alpha(\tilde{s})(p) = \alpha(\bar{s})(p)$ .
- This shows that  $\alpha(\tilde{s})(p)$  does not depend on the choice of  $\tilde{s}$ , and so  $\alpha_U(s)(p)$  is well defined.

## Remark

If  $\alpha : \Gamma(E) \rightarrow \Gamma(F)$  is  $C^\infty(M)$ -linear, then  $\alpha_U : \Gamma(U, E) \rightarrow \Gamma(U, F)$  is  $C^\infty(U)$ -linear.

# Local Operators

## Setup

- $E_1$ ,  $E_2$ , and  $F$  are smooth vector bundles over  $M$ .

## Definition

A bilinear map  $\beta : \Gamma(E_1) \times \Gamma(E_2) \rightarrow \Gamma(F)$  is a **local operator** if, given any  $s_i \in \Gamma(E_i)$  and any open  $U \subseteq M$ , we have

$$(s_1 = 0 \text{ or } s_2 = 0 \text{ on } U) \implies \beta(s_1, s_2) = 0 \text{ on } U.$$

## Example

Any  $C^\infty(M)$ -bilinear map  $\beta : \Gamma(E_1) \times \Gamma(E_2) \rightarrow \Gamma(F)$  is a local operator.

## Proposition

If  $\beta : \Gamma(E_1) \times \Gamma(E_2) \rightarrow \Gamma(F)$  is a local bilinear operator, then, for any open  $U \subseteq M$ , there is a unique bilinear operator  $\beta_U : \Gamma(U, E_1) \times \Gamma(U, E_2) \rightarrow \Gamma(U, F)$  such that

$$\beta(s_1, s_2)|_U = \beta_U(s_1|_U, s_2|_U) \quad \forall s_i \in \Gamma(U, E_i).$$

## Remark

If  $s_i \in \Gamma(U, E_i)$  and  $p \in U$ , then

$$\beta_U(s_1|_U, s_2|_U)(p) = \beta(\tilde{s}_1, \tilde{s}_2)(p),$$

where  $\tilde{s}_i \in \Gamma(E_i)$  is such that  $\tilde{s}_i = s_i$  near  $p$ .

# Restricting a Connection to an Open Set

## Setup

- $E$  is a smooth vector bundle over  $M$ .
- $\nabla : \mathcal{X}(M) \times \Gamma(E) \rightarrow \Gamma(E)$  is a connection on  $E$ .

## Proposition

*The connection  $\nabla$  is a local operator.*



# Restricting a Connection to an Open Set

## Corollary

For every open set  $U \subseteq M$ , the connection restricts to a bilinear operator,

$$\nabla^U : \mathcal{X}(U) \times \Gamma(U, E) \longrightarrow \Gamma(U, E).$$

## Remarks

- $\nabla^U : \mathcal{X}(U) \times \Gamma(U, E) \longrightarrow \Gamma(U, E)$  is the unique bilinear operator such that

$$(\nabla_X^U s)|_U = \nabla_{X|_U}^U (s|_U) \quad \forall (X, s) \in \mathcal{X}(M) \times \Gamma(E).$$

- If  $X \in \mathcal{X}(U)$  and  $s \in \Gamma(U, E)$ , then

$$(\nabla_X^U s)(p) = (\nabla_{\tilde{X}} \tilde{s})(p),$$

where  $\tilde{X} \in \mathcal{X}(M)$  and  $\tilde{s} \in \Gamma(E)$  are such that  $\tilde{X} = X$  and  $\tilde{s} = s$  near  $p$ .

# Restricting a Connection to an Open Set

## Proposition

*If  $U \subseteq M$  is an open set, then  $\nabla^U$  is a connection on  $E|_U$ .*

# $C^\infty(M)$ -Linear Operators and Bundle Maps

## Setup

- $E \xrightarrow{\pi_E} M$  and  $F \xrightarrow{\pi_F} M$  are smooth vector bundles over  $M$ .

## Definition

A **smooth bundle map**  $\varphi : E \rightarrow F$  is a smooth map satisfying the following two conditions:

- (i)  $\pi_F \circ \varphi = \pi_E$ , i.e.,  $\varphi(E_p) \subseteq F_p$  for all  $p \in M$ .
- (ii) For every  $p \in M$ , the induced map  $\varphi_p : E_p \rightarrow F_p$  is linear.

## Facts

Let  $\varphi : E \rightarrow F$  be a smooth bundle map.

- If  $s \in \Gamma(E)$ , then  $p \rightarrow \varphi(s(p))$  is a section of  $F$ .
- It can be shown this is a smooth section.
- If  $f \in C^\infty(M)$ , then  $\varphi(f(p)s(p)) = f(p)\varphi(s(p))$ .

Therefore, we obtain the following result:

## Proposition

Any smooth bundle map  $\varphi : E \rightarrow F$  defines a  $C^\infty(M)$ -linear map,

$$\begin{aligned}\varphi_\# : \Gamma(E) &\longrightarrow \Gamma(F), \\ \varphi_\#(s)(p) &:= \varphi(s(p)), \quad s \in \Gamma(E), \quad p \in M.\end{aligned}$$

## Lemma

Let  $\alpha : \Gamma(E) \rightarrow \Gamma(F)$  be a  $C^\infty(M)$ -linear map. Given any  $s \in \Gamma(E)$  and  $p \in M$ , we have

$$s(p) = 0 \implies \alpha(s)(p) = 0.$$

## Proposition

*If  $\alpha : \Gamma(E) \rightarrow \Gamma(F)$  is a  $C^\infty(M)$ -linear map, then there is a unique smooth bundle map  $\varphi : E \rightarrow F$  such that  $\varphi_\# = \alpha$ .*

## Remark

The bundle map  $\varphi : E \rightarrow F$  is defined as follows:

- If  $p \in M$  and  $\xi \in E_p$ , then

$$\varphi(\xi) = \alpha(s)(p),$$

where  $s \in \Gamma(E)$  is such that  $s(p) = \xi$ .

- Thanks to the previous lemma the r.h.s. does not depend on the choice of  $s$ .

# $C^\infty(M)$ -Linear Operators and Bundle Maps

## Consequence

We have a one-to-one consequence,

$$\left\{ \begin{array}{c} \text{smooth bundle maps} \\ \varphi : E \longrightarrow F \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{c} C^\infty(M) \text{ - linear maps} \\ \alpha : \Gamma(E) \longrightarrow \Gamma(F) \end{array} \right\}.$$

## Corollary

Any  $C^\infty(M)$ -linear map  $\omega : \mathcal{X}(M) \rightarrow C^\infty(M)$  is a smooth 1-form.

## Remark

Any 2-form  $\omega \in \Omega^2(M)$  gives rise to an alternating  $C^\infty(M)$ -bilinear map,

$$\mathcal{X}(M) \times \mathcal{X}(M) \ni (X, Y) \longrightarrow \omega(X, Y) \in C^\infty(M)$$

## Lemma

If  $\beta : \mathcal{X}(M) \times \mathcal{X}(M) \rightarrow C^\infty(M)$  is  $C^\infty(M)$ -linear, then, given any  $X, Y \in \mathcal{X}(M)$  and  $p \in M$ , we have

$$(X(p) = 0 \text{ or } Y(p) = 0) \implies \beta(X, Y)(p) = 0.$$



## Proposition

*Let  $\omega : \mathcal{X}(M) \times \mathcal{X}(M) \rightarrow C^\infty(M)$  be  $C^\infty(M)$ -bilinear and alternating. Then  $\omega$  is a smooth 2-form on  $M$ .*

## Setup

- $\nabla$  is a connection on a vector bundle  $E$  of rank  $r$  over  $M$ .
- $(e_1, \dots, e_r)$  is a frame of  $E$  over an open subset  $U \subseteq M$ .
- Any (smooth) section  $s$  of  $E$  over  $U$  can then be uniquely written as  $s = \sum a^j e_j$  with  $a^i \in C^\infty(U)$ .
- We denote by  $\nabla_U$  the restriction to  $U$  of  $\nabla$ .

# Connection and Curvature Forms

## Facts

- If  $X \in \mathcal{X}(U)$ , then  $\nabla_X e_j$  is a smooth section of  $E$  over  $U$ .
- We thus have a unique decomposition,

$$\nabla_X e_j = \sum_i \omega_j^i(X) e_i, \quad \omega_j^i(X) \in C^\infty(U).$$

## Lemma

*Each map  $\mathcal{X}(U) \ni X \rightarrow \omega_j^i(X) \in C^\infty(U)$  is  $C^\infty(U)$ -linear, and hence is a smooth 1-form on  $U$ .*

## Definition

- 1 The 1-forms  $\omega_j^i$  are called the **connection 1-forms** of  $\nabla$  relative to the frame  $(e_1, \dots, e_r)$ .
- 2 The matrix  $\omega = (\omega_j^i)$  is called the **connection matrix** of  $\nabla$  relative to the frame  $(e_1, \dots, e_r)$ .

# Connection and Curvature Forms

## Facts

- If  $X, Y \in \mathcal{X}(U)$ , then  $R(X, Y)e_j$  is a smooth section of  $E$  over  $U$ .
- We thus have a unique decomposition,

$$R(X, Y)e_j = \sum_i \Omega_j^i(X, Y)e_i, \quad \Omega_j^i(X, Y) \in C^\infty(U).$$

## Lemma

Each map  $\mathcal{X}(U) \times \mathcal{X}(U) \ni (X, Y) \rightarrow \Omega_j^i(X, Y) \in C^\infty(U)$  is  $C^\infty(U)$ -bilinear and alternating, and hence is a smooth 2-form.

## Definition

- 1 The 2-forms  $\Omega_j^i$  are called the **curvature forms** of  $\nabla$  relative to the frame  $(e_1, \dots, e_r)$ .
- 2 The matrix  $\Omega = (\Omega_j^i)$  is called the **curvature matrix** of  $\nabla$  relative to the frame  $(e_1, \dots, e_r)$ .

# Connection and Curvature Forms

## Theorem (Second Structural Equation)

For  $i, j = 1, \dots, r$ , we have

$$\Omega_j^i = d\omega_j^i + \sum_k \omega_k^i \wedge \omega_j^k.$$

## Remark

- If  $A = (\alpha_j^i)$  and  $B = (\beta_j^i)$  are matrices whose entries are differential forms, then we set

$$dA = (d\alpha_j^i),$$

$$A \wedge B = ((A \wedge B)_j^i), \quad \text{with } (A \wedge B)_j^i = \sum_k A_k^i \wedge B_j^k.$$

- Thus, with  $\omega = (\omega_j^i)$  and  $\Omega = (\Omega_j^i)$  the structural equation takes the form,

$$\Omega = d\omega + \omega \wedge \omega.$$

# Connection and Curvature Forms

## Proposition

Assume  $E$  is a Riemannian bundle and  $\nabla$  is a metric connection. If  $(e_1, \dots, e_r)$  is an orthonormal frame, the connection matrix  $\omega = (\omega_j^i)$  and the curvature matrix  $\Omega = (\Omega_j^i)$  are both skew-symmetric.

We have the following converse result:

## Proposition

Assume  $E$  is a Riemannian bundle. If near any point  $p \in M$  there is an orthonormal frame relative to which the connection matrix is skew-symmetric, then  $\nabla$  is a metric connection.

# Bianchi Identity

## Proposition (Second Bianchi identity)

We have

$$d\Omega = \Omega \wedge \omega - \omega \wedge \Omega.$$

Proof.

- As  $\Omega = d\omega + \omega \wedge \omega$ , we get

$$d\Omega = d(\omega \wedge \omega) = d\omega \wedge \omega - \omega \wedge d\omega.$$

- As  $d\omega = \Omega - \omega \wedge \omega$  we get

$$\begin{aligned} d\Omega &= (\Omega - \omega \wedge \omega) \wedge \omega - \omega \wedge (\Omega - \omega \wedge \omega) \\ &= \Omega \wedge \omega - \omega \wedge \Omega. \end{aligned}$$

The result is proved. □

# Change of Frame

## Setup

- $(\bar{e}_1, \dots, \bar{e}_r)$  is another frame of  $E$  over  $U$ .
- We thus have

$$\bar{e}_j = \sum_i a_j^i e_i, \quad \text{with } a = (a_j^i) \in C^\infty(U, \text{GL}_r(\mathbb{R})).$$

## Theorem

Let  $\bar{\omega}$  and  $\bar{\Omega}$  be the connection matrix and curvature matrix relative to  $(\bar{e}_1, \dots, \bar{e}_r)$ . Then, we have

$$\begin{aligned}\bar{\omega} &= a^{-1}\omega a + a^{-1}da, \\ \bar{\Omega} &= a^{-1}\Omega a.\end{aligned}$$



## Setup

- $\nabla$  is an affine connection, i.e., a connection on  $TM$ .
- $(e_1, \dots, e_n)$  is a tangent frame over an open  $U \subseteq M$ .
- $(\theta^1, \dots, \theta^n)$  is the dual coframe, i.e., the frame of  $T^*M$  such that  $\theta^i(e_j) = \delta_j^i$ .
- We let  $\theta$  be the column vector with entries  $\theta^i$ .

## Remarks

- If  $(U, x^1, \dots, x^n)$  are local coordinates, then as tangent frame and dual coframe we may take  $(\partial_{x^1}, \dots, \partial_{x^n})$  and  $(dx^1, \dots, dx^n)$ .
- For every vector field  $X \in \mathcal{X}(U)$ , we have

$$X = \sum_i \theta^i(X) e_i.$$

## Facts

- The torsion of  $\nabla$  is

$$T(X, Y) = \nabla_X Y - \nabla_Y X - \nabla_{[X, Y]}, \quad X, Y \in \mathcal{X}(U).$$

- As  $T(X, Y)$  is a smooth vector field on  $U$ , we may write

$$T(X, Y) = \sum_i \tau^i(X, Y) e_i, \quad \tau^i(X, Y) \in C^\infty(U).$$

## Lemma

Each  $\mathcal{X}(U) \times \mathcal{X}(U) \ni (X, Y) \rightarrow T(X, Y) \in C^\infty(U)$  is  $C^\infty(U)$ -bilinear and alternating, and hence is a smooth 2-form.

## Definition

The 2-forms  $\tau^i$  are called the **torsion forms** of  $\nabla$  relative to the frame  $(e_1, \dots, e_r)$ .

## Remark

We denote by  $\tau$  the  $r$ -column matrix whose entries are  $\tau^1, \dots, \tau^r$ .

## Theorem (Structural Equations)

We have

$$\begin{aligned}\tau &= d\theta + \omega \wedge \theta, \\ \Omega &= d\omega + \omega \wedge \omega.\end{aligned}$$

## Corollary

Assume  $M$  is a Riemannian manifold and  $\nabla$  is the Levi-Civita connection. If  $(e_1, \dots, e_n)$  is an orthonormal tangent frame, then the connection form  $\omega$  is the unique skew-symmetric matrix of 1-forms such that

$$d\theta + \omega \wedge \theta = 0.$$

# Bianchi Identities

## Theorem

We have

$$\begin{aligned}d\tau &= \Omega \wedge \theta - \omega \wedge \tau, \\d\Omega &= \Omega \wedge \omega - \omega \wedge \Omega.\end{aligned}$$

## Proof.

- The 2nd equality is known already. We only need to prove the 1st equality.
- As  $\tau = d\theta + \omega \wedge \theta$ , we get

$$d\tau = d(\omega \wedge \theta) = d\omega \wedge \theta - \omega \wedge d\theta.$$

- As  $d\theta = \tau - \omega \wedge \theta$  and  $d\omega = \Omega - \omega \wedge \omega$ , we get

$$\begin{aligned}d\tau &= (\Omega - \omega \wedge \omega) \wedge \theta - \omega \wedge (\tau - \omega \wedge \theta) \\&= \Omega \wedge \theta - \omega \wedge \tau.\end{aligned}$$

The proof is complete. □

## Remark

If  $\nabla$  is torsion-free (e.g.,  $\nabla$  is a Levi-Civita connection), then the 1st Bianchi identity reduces to

$$\Omega \wedge \theta = 0.$$