

# Commutative Algebra

## Chapter 3: Rings and Modules of Fractions

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# The Field of Fractions of an Integral Domain

## Reminder

We say that a ring  $A$  is an *integral domain* when it has no non-zero divisors, i.e.,

$$xy = 0 \iff x = 0 \text{ or } y = 0.$$

## Fact

In the same way we construct the rational field  $\mathbb{Q}$  from the ring of integers  $\mathbb{Z}$ , with any integral domain  $A$  we can associate its field of fractions  $\text{Frac}(A)$ .

# The Field of Fractions of an Integral Domain

## Facts

Let  $A$  is an integral domain. Set  $S = A \setminus \{0\}$ . On  $A \times S$  define a relation  $\equiv$  by

$$(a, s) \equiv (b, t) \iff at = bs.$$

- This relation is reflexive and symmetric,

$$(a, s) \equiv (a, s), \quad (a, s) \equiv (b, t) \iff (b, t) \equiv (a, s).$$

- To check transitivity, suppose that  $(a, s) \equiv (b, t)$  and  $(b, t) \equiv (c, u)$ , i.e.,  $at = bs$  and  $bu = ct$ . Then

$$t(au - cs) = (at)u - (ct)s = (bs)u - (bu)s = 0.$$

- As  $t \neq 0$  and  $A$  is an integral domain, this implies that  $au = cs$ , i.e.,  $(a, s) \equiv (c, u)$ .
- Therefore, the relation  $\equiv$  is an equivalence relation on  $A \times S$ .

# The Field of Fractions of an Integral Domain

## Definition

- 1 The class of  $(a, s)$  is denoted by  $a/s$ .
- 2 The set of equivalence classes is denoted by  $\text{Frac}(A)$ .

## Proposition

- 1  $\text{Frac}(A)$  is a field with respect to the addition and multiplication given by

$$(a/s) + (b/t) = (at + bs)/st, \quad (a/s) \cdot (b/t) = ab/st.$$

- 2 The map  $A \ni a \rightarrow a/1 \in \text{Frac}(A)$  is an injective ring homomorphism, and hence embeds  $A$  as a subring into  $\text{Frac}(A)$ .

## Definition

The field  $\text{Frac}(A)$  is called the *field of fractions* of  $A$ .

## Examples

- 1 If  $A = \mathbb{Z}$ , then  $\text{Frac}(A) = \mathbb{Q}$ .
- 2 If  $A$  is a polynomial ring  $k[x]$ ,  $k$  field, then  $\text{Frac}(A)$  is the field of rational functions over  $k$ .
- 3 If  $A$  is the ring of holomorphic functions on an open  $\Omega \subseteq \mathbb{C}$ , then  $\text{Frac}(A)$  is the field of meromorphic functions on  $\Omega$ .

# Rings of Fractions

## Remark

- The construction of the field  $\text{Frac}(A)$  uses the fact that  $A$  is an integral domain.
- It still can be adapted for arbitrary rings.

In what follows we let  $A$  be a ring.

## Definition

A subset  $S$  of  $A$  is called *multiplicatively closed* when

$$1 \in S \quad \text{and} \quad x, y \in S \implies xy \in S.$$

## Example

The ring  $A$  is an integral domain if and only if  $A \setminus \{0\}$  is multiplicatively closed.

## Facts

Let  $S$  be a multiplicatively closed subset of  $A$ . On  $A \times S$  define a relation  $\equiv$  by

$$(a, s) \equiv (b, t) \iff \exists u \in S \text{ such that } (at - bs)u = 0.$$

- This relation is reflexive and symmetric.
- To check transitivity, suppose that  $(a, s) \equiv (b, t)$  and  $(b, t) \equiv (c, u)$ , i.e., there are  $v, w \in S$  such that

$$(at - bs)v = (bu - ct)w = 0.$$

- Then  $(au - cs)tvw$  is equal to

$$[(at)v]uw - [(ct)w]sv = [(bs)v]uw - [(bu)w]sv = 0.$$

- As  $S$  is multiplicatively closed,  $tvw \in S$ , and so  $(a, s) \equiv (c, u)$ .
- Thus, we have an equivalence relation on  $A \times S$ .

# Rings of Fractions

## Definition

- 1 The class of  $(a, s)$  is denoted by  $a/s$ .
- 2 The set of equivalence classes is denoted by  $S^{-1}A$ .

## Proposition

- 1  $S^{-1}A$  is a ring with respect to the addition and multiplication given by

$$(a/s) + (b/t) = (at + bs)/st, \quad (a/s) \cdot (b/t) = ab/st.$$

- 2 The map  $f : A \rightarrow S^{-1}A$ ,  $a \rightarrow a/1$  is a ring homomorphism.

## Remarks

- 1 The ring homomorphism  $f : A \rightarrow S^{-1}A$  is not injective in general.
- 2 If  $A$  is an integral domain and  $S = A \setminus \{0\}$ , then  $S^{-1}A$  is the field of fractions  $\text{Frac}(A)$ .

## Definition

The ring  $S^{-1}A$  is called the *ring of fractions* of  $A$  with respect to  $S$ .

## Proposition (Universal Property of $S^{-1}A$ ; Proposition 3.1)

Let  $g : A \rightarrow B$  be a ring homomorphism such that  $g(s)$  is a unit in  $B$  for all  $s \in S$ . Then there is a unique ring homomorphism  $h : S^{-1}A \rightarrow B$  such that  $g = h \circ f$ .

## Fact

The ring  $S^{-1}A$  and the homomorphism  $f : A \rightarrow S^{-1}A$  satisfy the following properties:

- (i)  $f(s)$  is a unit in  $S^{-1}A$  for all  $s \in S$ .
- (ii) If  $f(a) = 0$ , then  $as = 0$  for some  $s \in S$ .
- (iii) Every element of  $S^{-1}A$  is of the form  $f(a)f(s)^{-1}$  with  $a \in A$  and  $s \in S$ .

## Corollary (Corollary 3.2)

Let  $B$  be a ring and  $g : A \rightarrow B$  a ring homomorphism satisfying the properties (i)–(iii) above. Then there is a unique ring isomorphism  $h : S^{-1}A \rightarrow B$  such that  $g = h \circ f$ .

# Examples of Rings of Fractions

## Example

- The set  $S = \{1, 0\}$  is multiplicatively closed.
- In this case  $S^{-1}A$  is the zero ring, since  $(a, s) \equiv (0, 0)$  for all  $a \in A$  and  $s \in S$ .
- In fact, we have

$$S^{-1}A \text{ is the zero ring} \iff 0 \in S.$$

## Example

Let  $\mathfrak{a}$  be an ideal in  $A$ , and set

$$S = 1 + \mathfrak{a} = \{1 + x; x \in \mathfrak{a}\} = \{x \in A; x = 1 \bmod \mathfrak{a}\}.$$

Then  $S$  is multiplicatively closed.

# Examples of Rings of Fractions

## Example

Let  $f \in A$  and set  $S = \{f^n; n \geq 0\}$ .

- The subset  $S$  is multiplicatively closed.
- We write  $A_f$  for  $S^{-1}A$  in this case.
- If  $A = \mathbb{Z}$  and  $f = q \in \mathbb{Z}$ , then  $A_f$  consists of rational numbers of the form  $mq^{-n}$  with  $m \in \mathbb{Z}$  and  $n \geq 0$ .

# Examples of Rings of Fractions

## Reminder

- An ideal  $\mathfrak{p}$  of  $A$  is called a *prime ideal* when

$$xy \in \mathfrak{p} \iff x \in \mathfrak{p} \text{ or } y \in \mathfrak{p}.$$

- Any *maximal ideal* is prime.
- A *local ring* is a ring that has a unique maximal ideal.

## Example

Let  $\mathfrak{p}$  be a prime ideal, and set  $S = A \setminus \mathfrak{p}$ . We have

$$\mathfrak{p} \text{ is prime} \iff S \text{ is multiplicatively closed.}$$

We denote by  $A_{\mathfrak{p}}$  the ring  $S^{-1}A$  in this case.

# Examples of Rings of Fractions

## Facts

Let  $\mathfrak{m}$  be the subset of  $A_{\mathfrak{p}}$  consisting of elements of the form  $a/s$  with  $a \in \mathfrak{p}$  and  $s \in S$ .

- $\mathfrak{m}$  is an ideal of  $A_{\mathfrak{p}}$ .
- If  $b/t \notin \mathfrak{m}$ , then  $b \notin \mathfrak{p}$ , i.e.,  $b \in S$ , and so  $b/t$  is a unit in  $A_{\mathfrak{p}}$  (with inverse  $t/b$ ).
- Thus, if  $\mathfrak{a}$  is an ideal such that  $\mathfrak{a} \not\subseteq \mathfrak{m}$ , then  $\mathfrak{a}$  contains a unit, and hence  $\mathfrak{a} = A_{\mathfrak{p}}$ .
- It follows that  $\mathfrak{m}$  is a maximal ideal of  $A$  and is the only such ideal. Thus,  $A_{\mathfrak{p}}$  is a *local ring*.

## Definition

The ring  $A_{\mathfrak{p}}$  is called the *localization* of  $A$  at  $\mathfrak{p}$ .

# Examples of Rings of Fractions

## Example

$A = \mathbb{Z}$  and  $\mathfrak{p} = (p)$ , where  $p$  is a prime number. Then  $\mathbb{Z}_{\mathfrak{p}}$  consists of all rational numbers of the form  $m/n$  where  $n$  is prime to  $p$ .

# Modules of Fractions

The construction of  $S^{-1}A$  can be further extended to  $A$ -modules.

## Facts

Let  $S$  be a multiplicatively closed subset of  $A$  and  $M$  an  $A$ -module. On  $M \times S$  we define a relation  $\equiv$  by

$$(m, s) \equiv (m', s') \iff \exists t \in S \text{ such that } t(s'm - sm') = 0.$$

As before, this is an equivalence relation.

## Definition

- 1 The equivalence class of  $(m, s)$  is denoted  $m/s$ .
- 2 The set of equivalence classes is denoted  $S^{-1}M$ .

## Proposition

$S^{-1}M$  is an  $S^{-1}A$ -module with respect to the addition and scalar multiplication given by

$$(m/s) + (m'/s') = (s'm + sm')/ss', \quad (a/s) \cdot (m/t) = am/st.$$

## Definition

$S^{-1}M$  is called the *module of fractions* of  $M$  with respect to  $S$ .

## Fact

- If  $u : M \rightarrow N$  is an  $A$ -module homomorphism, then we get an  $S^{-1}A$ -module homomorphism,

$$S^{-1}u : S^{-1}M \longrightarrow S^{-1}N, \quad m/s \longrightarrow u(m)/s.$$

- Thus, the operation  $S^{-1}$  is a functor from the category of  $A$ -modules to the category of  $S^{-1}A$ -modules.

## Proposition (Proposition 3.3)

The functor  $S^{-1}$  is exact, i.e., if  $M' \xrightarrow{f} M \xrightarrow{g} M''$  is exact at  $M$ , then  $S^{-1}M' \xrightarrow{S^{-1}f} S^{-1}M \xrightarrow{S^{-1}g} S^{-1}M''$  is exact at  $S^{-1}M$ .

### Remark

Let  $M'$  be a sub-module of  $M$ .

- Applying the previous result to  $0 \rightarrow M' \hookrightarrow M$  produces an injective  $S^{-1}A$ -module homomorphism  $S^{-1}M' \rightarrow S^{-1}M$ .
- This allows us to identify  $S^{-1}M'$  with a sub-module of  $S^{-1}M$ .

## Corollary (Corollary 3.4)

Let  $N$  and  $P$  be sub-modules of  $M$ . Then:

- ①  $S^{-1}(N + P) = S^{-1}(N) + S^{-1}(P)$ .
- ②  $S^{-1}(N \cap P) = S^{-1}(N) \cap S^{-1}(P)$ .
- ③ The  $S^{-1}A$ -modules  $S^{-1}(M/N)$  and  $S^{-1}M/S^{-1}N$  are isomorphic.

## Remark

By exactness the exact sequence  $0 \rightarrow N \hookrightarrow M \rightarrow M/N \rightarrow 0$  gives an exact sequence  $0 \rightarrow S^{-1}N \hookrightarrow S^{-1}M \rightarrow S^{-1}(M/N) \rightarrow 0$ .

# Modules of Fractions

## Proposition (Proposition 3.5)

We have a canonical  $A$ -module isomorphism,

$$S^{-1}A \otimes_A M \simeq S^{-1}M, \quad (a/s) \otimes m \longrightarrow am/s.$$

## Remarks

- ① As  $(a/s) \otimes m \rightarrow am/s$  is  $A$ -bilinear, by the universal property of the tensor product there is a unique  $A$ -module homomorphism  $f : S^{-1}A \otimes_A M \rightarrow S^{-1}M$  such that

$$f((a/s) \otimes m) = am/s.$$

- ② The  $A$ -module map  $g : S^{-1}M \rightarrow S^{-1}A \otimes_A M$ ,  $m/s \rightarrow (1/s) \otimes m$  is an inverse of  $f$ , since

$$\begin{aligned} f \circ g(m/s) &= f((1/s) \otimes m) = 1m/s = m/s, \\ g \circ f((a/s) \otimes m) &= g(am/s) = (1/s) \otimes am = (a/s) \otimes m. \end{aligned}$$

Thus,  $f : S^{-1}A \otimes_A M \rightarrow S^{-1}M$  is an  $A$ -module isomorphism.

## Corollary (Corollary 3.6)

$S^{-1}A$  is a flat  $A$ -module, i.e., the functor  $S^{-1}A \otimes -$  preserves exactness of  $A$ -module sequences.

## Proposition (Proposition 3.7)

If  $M$  and  $N$  are  $A$ -modules, then we have a canonical isomorphism,

$$S^{-1}M \otimes_{S^{-1}A} S^{-1}N \simeq S^{-1}(M \otimes_A N), \quad (m/s) \otimes (n/t) \longrightarrow (m \otimes n)/st.$$

In particular, for any prime ideal  $\mathfrak{p}$  of  $A$  we get an  $A_{\mathfrak{p}}$ -module isomorphism,

$$M_{\mathfrak{p}} \otimes_{A_{\mathfrak{p}}} N_{\mathfrak{p}} \simeq (M \otimes_A N)_{\mathfrak{p}}.$$

## Remarks

The proof is similar to that of Proposition 3.5.

- ① Due to the  $S^{-1}A$ -bilinearity of  $(m/s) \times (n/t) \rightarrow (m \otimes n)/st$  there is a unique  $S^{-1}A$ -module homomorphism  $f : S^{-1}M \otimes_{S^{-1}A} S^{-1}N \rightarrow S^{-1}(M \otimes_A N)$  such that

$$f((m/s) \otimes (n/t)) = (m \otimes n)/st.$$

- ② We also observe that

$$(m/s) \otimes (n/t) = [(1/s)(m/1)] \otimes [(1/t)(n/1)] = \frac{1}{st} [(m/1) \otimes (n/1)].$$

In particular, we have

$$(m/st) \otimes (n/1) = \frac{1}{st} [(m/1) \otimes (n/1)] = (m/s) \otimes (n/t).$$

- ③ Using this it can be checked that  $(m \otimes n)/s \rightarrow (m/s) \otimes (n/1)$  is an inverse of  $f$ , and hence  $f$  is an isomorphism.

## Definition

- ① We say that a property  $P$  of a ring  $A$  is a *local property* if
$$A \text{ has } P \iff A_{\mathfrak{p}} \text{ has } P \text{ for each prime ideal } \mathfrak{p} \text{ of } A.$$
- ② Similarly, a property  $P$  of an  $A$ -module  $M$  is a *local property* if
$$M \text{ has } P \iff M_{\mathfrak{p}} \text{ has } P \text{ for each prime ideal } \mathfrak{p} \text{ of } A.$$

The next propositions provide examples of local properties.

# Local Properties

## Proposition (Proposition 3.8)

Let  $M$  be an  $A$ -module. Then TFAE:

- ①  $M = 0$ .
- ②  $M_{\mathfrak{p}} = 0$  for each prime ideal  $\mathfrak{p}$  of  $A$ .
- ③  $M_{\mathfrak{m}} = 0$  for each maximal ideal  $\mathfrak{m}$  of  $A$ .

## Proof.

- It is immediate that (i) implies (ii), and (ii) implies (iii).
- Suppose that  $M \neq 0$ . Let  $x \in M \setminus 0$ .
- As  $\text{Ann}(x) \neq (1)$ , there is a maximal ideal  $\mathfrak{m} \supseteq \text{Ann}(x)$ .
- If  $x/1 = 0$  in  $M_{\mathfrak{m}}$ , then  $\exists u \in A \setminus \mathfrak{m}$  such that  $ux = 0$ .
- This means that  $u \in \text{Ann}(x) \subseteq \mathfrak{m}$  (impossible).
- Thus  $x/1 \neq 0$  in  $M_{\mathfrak{m}}$ , and hence  $M_{\mathfrak{m}} \neq 0$  for some maximal  $\mathfrak{m}$ .
- By contraposition this shows that (iii) implies (i).

The proof is complete. □

# Local Properties

## Proposition (Proposition 3.9; 1st Part)

Let  $\phi : M \rightarrow N$  be an  $A$ -module homomorphism. Then TFAE:

- ①  $\phi$  is injective.
- ②  $\phi_{\mathfrak{p}} : M_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}$  is injective for every prime ideal  $\mathfrak{p}$  of  $A$ .
- ③  $\phi_{\mathfrak{m}} : M_{\mathfrak{m}} \rightarrow N_{\mathfrak{m}}$  is injective for every maximal ideal  $\mathfrak{m}$  of  $A$ .

## Proof.

- It is immediate that (ii) implies (iii).
- If (i) holds, then  $0 \rightarrow M \xrightarrow{\phi} N$  is exact, and so  $0 \rightarrow M_{\mathfrak{p}} \xrightarrow{\phi_{\mathfrak{p}}} N_{\mathfrak{p}}$  is exact, i.e.,  $\phi_{\mathfrak{p}}$  is injective. Thus (i)  $\Rightarrow$  (ii).
- Suppose that  $\phi_{\mathfrak{m}}$  is injective for all  $\mathfrak{m}$ . Set  $M' = \ker(\phi)$ .
- As  $0 \rightarrow M' \rightarrow M \xrightarrow{\phi} N$  is exact,  $0 \rightarrow M'_{\mathfrak{m}} \rightarrow M_{\mathfrak{m}} \xrightarrow{\phi_{\mathfrak{m}}} N_{\mathfrak{m}}$  is exact.
- As  $\phi_{\mathfrak{m}}$  is injective,  $M'_{\mathfrak{m}} = 0$  for all  $\mathfrak{m}$ , and hence  $M' = 0$  by Prop. 3.8. This shows that (iii) implies (i).



# Local Properties

## Proposition (Proposition 3.9; 2nd Part)

Let  $\phi : M \rightarrow N$  be an  $A$ -module homomorphism. Then TFAE:

- ①  $\phi$  is surjective.
- ②  $\phi_{\mathfrak{p}} : M_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}$  is surjective for every prime ideal  $\mathfrak{p}$  of  $A$ .
- ③  $\phi_{\mathfrak{m}} : M_{\mathfrak{m}} \rightarrow N_{\mathfrak{m}}$  is surjective for every maximal ideal  $\mathfrak{m}$  of  $A$ .

## Proof.

- It is immediate that (ii) implies (iii).
- If (i) holds, then  $M \xrightarrow{\phi} N \rightarrow 0$  is exact, and so  $M_{\mathfrak{p}} \xrightarrow{\phi_{\mathfrak{p}}} N_{\mathfrak{p}} \rightarrow 0$  is exact, i.e.,  $\phi_{\mathfrak{p}}$  is surjective. Thus (i)  $\Rightarrow$  (ii).
- Suppose that  $\phi_{\mathfrak{m}}$  is surjective for all  $\mathfrak{m}$ . Set  $N' = N / \text{ran}(\phi)$ .
- As  $M \xrightarrow{\phi} N \rightarrow N' \rightarrow 0$  is exact,  $M_{\mathfrak{m}} \xrightarrow{\phi_{\mathfrak{m}}} N_{\mathfrak{m}} \rightarrow N'_{\mathfrak{m}} \rightarrow 0$  is exact.
- As  $\phi_{\mathfrak{m}}$  is surjective,  $N'_{\mathfrak{m}} = 0$  for all  $\mathfrak{m}$ , and hence  $\text{ran}(\phi) = N$  by Prop. 3.8, i.e.,  $\phi$  is surjective. Thus, (iii) implies (i).



As the following result shows, flatness is a local property.

## Proposition (Proposition 3.10)

Let  $M$  be an  $A$ -module. TFAE:

- ①  $M$  is a flat  $A$ -module.
- ②  $M_{\mathfrak{p}}$  is a flat  $A_{\mathfrak{p}}$ -module for every prime ideal  $\mathfrak{p}$  of  $A$ .
- ③  $M_{\mathfrak{m}}$  is a flat  $A_{\mathfrak{m}}$ -module for every maximal ideal  $\mathfrak{m}$  of  $A$ .

# Extended and Contracted Ideals in Rings of Fractions

## Reminder

Let  $f : A \rightarrow B$  be a ring homomorphism.

- If  $\mathfrak{a}$  is an ideal in  $A$ , then its *extension*  $\mathfrak{a}^e$  is the ideal in  $B$  generated by  $f(\mathfrak{a})$ . Thus, it consists of all finite sums,

$$\sum f(a_i)b_i, \quad a_i \in \mathfrak{a}, \quad b_i \in B.$$

- If  $\mathfrak{b}$  is an ideal in  $B$ , then its *contraction*  $\mathfrak{b}^c$  is the ideal  $f^{-1}(\mathfrak{b})$  in  $A$ .
- If  $\mathfrak{a}$  and  $\mathfrak{b}$  are ideals in  $A$ , their *ideal quotient* is the ideal

$$(\mathfrak{a} : \mathfrak{b}) = \{x \in A; x\mathfrak{b} \subseteq \mathfrak{a}\}.$$

When  $\mathfrak{b} = (b)$  we write  $(\mathfrak{a} : b)$  for  $(\mathfrak{a} : (b))$ .

# Extended and Contracted Ideals in Rings of Fractions

## Facts

Let  $f : A \rightarrow S^{-1}A$  be the natural homomorphism  $a \rightarrow a/1$ .

- If  $\mathfrak{a}$  is an ideal in  $A$ , then any  $y \in \mathfrak{a}^e$  is of the form

$$y = \sum f(a_i)(b_i/s_i) = \sum (a_i/1)(b_i/s_i) = \sum a_i b_i / s_i,$$

where  $a_i \in \mathfrak{a}$ ,  $b_i \in B$  and  $s_i \in S$ .

- Set  $s = \prod s_i$  and  $t_i = \prod_{j \neq i} s_j$ , so that  $1/s_i = t_i/s$ . Then

$$y = \sum (a_i b_i t_i / s) = \left( \sum a_i b_i t_i \right) / s.$$

- Set  $a' = \sum a_i b_i t_i$ . Then  $a' \in \mathfrak{a}$ , and so  $y = a'/s \in S^{-1}\mathfrak{a}$ .
- We then deduce that

$$\mathfrak{a}^e = S^{-1}\mathfrak{a}.$$

# Extended and Contracted Ideals in Rings of Fractions

## Proposition (Proposition 3.11)

- 1 If  $\mathfrak{b}$  is an ideal in  $S^{-1}A$ , then  $\mathfrak{b} = S^{-1}(\mathfrak{b}^c)$ . Thus, any ideal in  $S^{-1}A$  is an extended ideal.
- 2 If  $\mathfrak{a}$  is an ideal in  $A$ , then  $\mathfrak{a}^{ec} = \bigcup_{s \in S} (\mathfrak{a} : s)$ . In particular,  $\mathfrak{a}^e = (1)$  if and only if  $S \cap \mathfrak{a} \neq \emptyset$ .
- 3 An ideal  $\mathfrak{a}$  in  $A$  is a contracted ideal if and only if no element of  $S$  is a zero-divisor in  $A/\mathfrak{a}$ .
- 4 We have a one-to-one correspondance  $\mathfrak{p} \leftrightarrow S^{-1}\mathfrak{p}$  between prime ideal in  $S^{-1}A$  and prime ideals in  $A$  that don't meet  $S$ .
- 5 The operation  $S^{-1}$  on ideals commutes with taking finite sums, products, intersections, and radicals.

## Proof of Proposition 3.11 (Part 1).

- Let  $\mathfrak{b}$  be an ideal in  $S^{-1}A$ , and let  $x/s \in \mathfrak{b}$ .
- $x/1 = (x/s)(s/1) \in \mathfrak{b}$ , and so  $x \in \mathfrak{b}^c$ .
- Then  $x/s = (x/1)(1/s) \in (\mathfrak{b}^c)^e$ , and hence  $\mathfrak{b} \subseteq \mathfrak{b}^{ce}$ .
- As  $\mathfrak{b}^{ce} \subseteq \mathfrak{b}$ , we deduce that  $\mathfrak{b} = \mathfrak{b}^{ce} = S^{-1}(\mathfrak{b}^c)$ .

This proves Part 1.



# Extended and Contracted Ideals in Rings of Fractions

## Proof of Proposition 3.11 (Part 2).

- Let  $\mathfrak{a}$  be an ideal in  $A$ . We have

$$x \in \mathfrak{a}^{ec} \Leftrightarrow x/1 \in \mathfrak{a}^e = S^{-1}(\mathfrak{a}) \Leftrightarrow x/1 = a/s \text{ with } (a, s) \in \mathfrak{a} \times S.$$

- If  $x/1 = a/s$  with  $(a, s) \in \mathfrak{a} \times S$ , then  $\exists t \in S$  such that  $t(xs - a \cdot 1) = 0$ , i.e.,  $x(st) = a \in \mathfrak{a}$ , and hence  $x \in (\mathfrak{a} : st)$ .
- If  $x \in (\mathfrak{a} : s)$ , then  $xs \in \mathfrak{a}$ , and so  $x/1 = xs/s \in S^{-1}(\mathfrak{a})$ .
- This shows that  $\mathfrak{a}^{ec} = \bigcup_{s \in S} (\mathfrak{a} : s)$ .
- Next, if  $\mathfrak{a}^e = (1)$ , then  $\mathfrak{a}^{ec} = (1) = A$ , and so  $1 \in (\mathfrak{a} : s)$  for some  $s \in S$ , i.e.,  $s = 1 \cdot s \in \mathfrak{a}$ .
- Conversely, if  $s \in S \cap \mathfrak{a} \neq \emptyset$ , then  $1 = s/s \in S^{-1}(\mathfrak{a}) = \mathfrak{a}^e$ , and hence  $\mathfrak{a}^e = (1)$ .

This proves Part 2. □

## Proof of Proposition 3.11 (Part 3).

- An ideal  $\mathfrak{a}$  in  $A$  is a contracted ideal if and only if  $\mathfrak{a}^{ec} \subseteq \mathfrak{a}$  (since we always have  $\mathfrak{a}^{ec} \supseteq \mathfrak{a}$ ).
- As  $\mathfrak{a}^{ec} = \bigcup_{s \in S} (\mathfrak{a} : s)$ , we get

$$\begin{aligned}\mathfrak{a}^{ec} \subseteq \mathfrak{a} &\Leftrightarrow (\mathfrak{a} : s) \subseteq \mathfrak{a} \quad \forall s \in S \\ &\Leftrightarrow (xs \in \mathfrak{a} \Rightarrow x \in \mathfrak{a}) \quad \forall s \in S \\ &\Leftrightarrow [(xs = 0 \text{ in } A/\mathfrak{a}) \Rightarrow (x = 0 \text{ in } A/\mathfrak{a})] \quad \forall s \in S\end{aligned}$$

- Thus,  $\mathfrak{a}$  in  $A$  is a contracted ideal if and only if no  $s \in S$  is a zero-divisor in  $A/\mathfrak{a}$ .

This proves Part 3. □

# Extended and Contracted Ideals in Rings of Fractions

## Proof of Proposition 3.11 (Part 4).

- Let  $\mathfrak{q}$  be a prime ideal in  $S^{-1}A$ . Then  $\mathfrak{q} = S^{-1}(\mathfrak{q}^c)$ .
- Here  $(\mathfrak{q}^c)^e = \mathfrak{q} \neq (1)$ , so by Part 2  $\mathfrak{q}^c \cap S = \emptyset$ .
- $\mathfrak{q}^c$  is a prime ideal, since

$$\begin{aligned}xy \in \mathfrak{q}^c &\iff (xy)/1 \in \mathfrak{q} \\&\iff (x/1)(y/1) \in \mathfrak{q} \\&\iff (x/1 \in \mathfrak{q} \text{ or } y/1 \in \mathfrak{q}) \\&\iff (x \in \mathfrak{q}^c \text{ or } y \in \mathfrak{q}^c).\end{aligned}$$

- Thus  $\mathfrak{q} = S^{-1}(\mathfrak{p})$ , where  $\mathfrak{p} = \mathfrak{q}^c$  is a prime ideal that does not meet  $S$ .

## Remark

The fact that the contraction of a prime ideal is prime is true for any homomorphism.

# Extended and Contracted Ideals in Rings of Fractions

## Proof of Proposition 3.11 (Part 4, continued).

- Let  $\mathfrak{p}$  be a prime ideal s.t.  $\mathfrak{p} \cap S = \emptyset$ .
- As  $\mathfrak{p}$  is prime,  $A/\mathfrak{p}$  is an integral domain.
- Let  $\overline{S}$  be the image of  $S$  in  $A/\mathfrak{p}$ , then  $\overline{S}^{-1}(A/\mathfrak{p})$  is contained in the fraction field  $\text{Frac}(A/\mathfrak{p})$ , and hence is either zero or an integral domain.
- As  $S^{-1}A/S^{-1}\mathfrak{p} \simeq \overline{S}^{-1}(A/\mathfrak{p})$ , we see that  $S^{-1}A/S^{-1}\mathfrak{p}$  is either zero or an integral domain.
- That is, either  $S^{-1}\mathfrak{p} = (1)$  or  $S^{-1}\mathfrak{p}$  is prime.
- By Part 2  $S^{-1}\mathfrak{p} = \mathfrak{p}^e \neq (1)$  since  $\mathfrak{p} \cap S = \emptyset$ , so  $S^{-1}\mathfrak{p}$  is prime.

This completes the proof of Part 4. □

# Extended and Contracted Ideals in Rings of Fractions

## Proof of Proposition 3.1 (Part 5, intersections).

- If  $\mathfrak{a}$  and  $\mathfrak{b}$  are ideals in  $A$ , then  $S^{-1}(\mathfrak{a} \cap \mathfrak{b}) \subseteq S^{-1}(\mathfrak{a}) \cap S^{-1}(\mathfrak{b})$ .
- Let  $a/s \in S^{-1}(\mathfrak{a})$  with  $a \in \mathfrak{a}$  and  $s \in S$ . If  $a/s \in S^{-1}\mathfrak{b}$ , then  $\exists b \in \mathfrak{b}$  and  $t \in S$  such that  $a/s = b/t$ .
- This means there is  $u \in S$  such that  $(at - bs)u = 0$ .
- Thus,  $atu = bsu \in \mathfrak{a} \cap \mathfrak{b}$ , and we have

$$a/s = (a/s)(tu/tu) = (atu)/(stu) \in S^{-1}(\mathfrak{a} \cap \mathfrak{b}).$$

- It follows that  $S^{-1}(\mathfrak{a} \cap \mathfrak{b}) = S^{-1}(\mathfrak{a}) \cap S^{-1}(\mathfrak{b})$ .

This shows that  $S^{-1}$  commutes with intersection. □

## Reminder

- The *nilradical* of  $A$  is the ideal

$$\mathfrak{N}(A) = \{x \in A; x^n = 0 \text{ for some } n \geq 1\}.$$

- Equivalently,  $\mathfrak{N}(A)$  is the intersection of all the prime ideals of  $A$  (see Proposition 1.8).

# Extended and Contracted Ideals in Rings of Fractions

## Corollary (Corollary 3.12)

*The nilradical of  $S^{-1}A$  is precisely  $S^{-1}(\mathfrak{N}(A))$ .*

## Proof.

- By Part 4 of Proposition 3.11,

$$\begin{aligned}\mathfrak{N}(S^{-1}A) &= \bigcap \{q; q \text{ prime in } S^{-1}A\} \\ &= \bigcap \{S^{-1}\mathfrak{p}; \mathfrak{p} \text{ prime in } A \text{ \& } \mathfrak{p} \cap S = \emptyset\}.\end{aligned}$$

- By Part 2 if  $\mathfrak{p} \cap S \neq \emptyset$ , then  $S^{-1}\mathfrak{p} = (1) = S^{-1}A$ . Thus,

$$\mathfrak{N}(S^{-1}A) = \bigcap \{S^{-1}\mathfrak{p}; \mathfrak{p} \text{ prime in } A\}.$$

- As  $S^{-1}$  commutes with intersection, we get

$$\mathfrak{N}(S^{-1}A) = S^{-1} \left( \bigcap \{\mathfrak{p}; \mathfrak{p} \text{ prime in } A\} \right) = S^{-1}(\mathfrak{N}(A)).$$

The result is proved. □

# Extended and Contracted Ideals in Rings of Fractions

## Corollary (Corollary 3.13)

Let  $\mathfrak{p}$  be a prime ideal of  $A$ . Then the prime ideals of the local ring  $A_{\mathfrak{p}}$  are in one-to-one correspondence with the prime ideals of  $A$  that are contained in  $\mathfrak{p}$ .

## Remarks

- By this corollary, passing from  $A$  to  $A_{\mathfrak{p}}$  cuts out all prime ideals except those contained in  $\mathfrak{p}$ .
- By Proposition 1.1, passing from  $A$  to  $A/\mathfrak{p}$  cuts out all prime ideals except those containing  $\mathfrak{p}$ .
- Thus, if  $\mathfrak{q}$  is a prime ideal contained in  $\mathfrak{p}$ , then passing to  $(A_{\mathfrak{p}})_{\mathfrak{q}} \simeq (A/\mathfrak{q})_{\mathfrak{p}}$  restricts ourselves to those prime ideals between  $\mathfrak{q}$  and  $\mathfrak{p}$ .
- For  $\mathfrak{q} = \mathfrak{p}$  we obtain the *residual field* of  $\mathfrak{p}$ . It can be realized either as the fraction field of the integral domain  $A/\mathfrak{p}$ , or as the residue field of the local ring  $A_{\mathfrak{p}}$ .

## Reminder

- If  $N$  and  $P$  are sub-modules of an  $A$ -module  $M$ , then

$$(N : P) = \{x \in A; xP \subseteq N\}.$$

This is an ideal of  $A$ .

- The *annihilator* of  $M$ , denoted  $\text{Ann}(M)$ , is the ideal  $(0 : M)$ . That is,

$$\text{Ann}(M) = \{x \in A; xM = 0\}.$$

- By Exercise 2.2 we have

$$\begin{aligned}\text{Ann}(N + P) &= \text{Ann}(N) \cap \text{Ann}(P), \\ (N : P) &= \text{Ann}((N + P)/N).\end{aligned}$$

# Extended and Contracted Ideals in Rings of Fractions

## Proposition (Proposition 3.14)

Let  $M$  be a finitely generated  $A$ -module. Then

$$S^{-1}(\operatorname{Ann}(M)) = \operatorname{Ann}(S^{-1}M).$$

## Remark

- If  $M$  is single generated, i.e.,  $M = Ax$ . Then we have an exact sequence of  $A$ -modules,

$$0 \longrightarrow \operatorname{Ann}(M) \longrightarrow A \xrightarrow{a \mapsto ax} M \longrightarrow 0.$$

- By exactness of the functor  $S$  this gives an exact sequence of  $S^{-1}A$ -modules,

$$0 \longrightarrow S^{-1}(\operatorname{Ann}(M)) \longrightarrow S^{-1}A \xrightarrow{a/s \mapsto ax/s} S^{-1}M \longrightarrow 0,$$

which shows that  $\operatorname{Ann}(S^{-1}M) = S^{-1}(\operatorname{Ann}(M))$ .

# Extended and Contracted Ideals in Rings of Fractions

## Corollary (Corollary 3.15)

If  $N$  and  $P$  are sub-modules of  $M$  with  $P$  finitely generated, then

$$S^{-1}(N : P) = (S^{-1}N : S^{-1}P).$$

## Remarks

- The fact that  $P$  is finitely generated implies that  $(N + P)/N$  is finitely generated as well.
- As  $(N : P) = \text{Ann}((N + P)/N)$  by applying the previous proposition we get

$$S^{-1}(N : P) = \text{Ann} [S^{-1}((N + P)/N)].$$

- We have

$$S^{-1}((N + P)/P) = S^{-1}(N + P)/S^{-1}N = (S^{-1}N + S^{-1}P)/S^{-1}N.$$

- Thus,

$$S^{-1}(N : P) = \text{Ann} [(S^{-1}N + S^{-1}P) / S^{-1}N] = (S^{-1}N : S^{-1}P).$$

## Proposition (Proposition 3.16)

Let  $g : A \rightarrow B$  be a ring homomorphism, and  $\mathfrak{p}$  a prime ideal in  $A$ .  
Then TFAE:

- (i)  $\mathfrak{p}$  is the contraction of a prime ideal in  $B$ .
- (ii)  $\mathfrak{p}^{ec} = \mathfrak{p}$ .

# Extended and Contracted Ideals in Rings of Fractions

## Proof of Proposition 3.16.

- If  $\mathfrak{p} = \mathfrak{q}^c$ , then  $\mathfrak{p}^{ec} = \mathfrak{q}^{cec} = \mathfrak{q}^c = \mathfrak{p}$  by Proposition 1.17.
- Suppose that  $\mathfrak{p}^{ec} = \mathfrak{p}$ . Let  $S$  be the image of  $A \setminus \mathfrak{p}$  in  $B$ .
- As  $\mathfrak{p}^e \cap S = \emptyset$ , we see that  $S^{-1}(\mathfrak{p}^e) \subsetneq S^{-1}B$ .
- Thus, there is a maximal ideal  $\mathfrak{m}$  in  $S^{-1}B$  containing  $S^{-1}(\mathfrak{p}^e)$ .
- Let  $\mathfrak{q}$  be the contraction of  $\mathfrak{m}$  in  $B$ . This is a prime ideal such that  $\mathfrak{q} \cap S = \emptyset$ . Thus,

$$\mathfrak{q}^c \cap (A \setminus \mathfrak{p}) \subseteq g^{-1}(\mathfrak{q}) \cap g^{-1}(S) = g^{-1}(\mathfrak{q} \cap S) = \emptyset.$$

That is,  $\mathfrak{q}^c \subseteq \mathfrak{p}$ .

- As  $\mathfrak{q}$  contains the contraction of  $S^{-1}(\mathfrak{p}^e)$  in  $B$ , it contains  $\mathfrak{p}^e$ , and hence  $\mathfrak{q}^c \supseteq \mathfrak{p}^{ec} = \mathfrak{p}$ .
- Thus,  $\mathfrak{p} = \mathfrak{q}^c$ .

The proof is complete. □