

Noncommutative Geometry
Chapter 13:
Cyclic Cohomology and the Local Index Formula

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Setup

\mathcal{A} is a unital algebra over \mathbb{C} .

Definition (Hochschild Complex)

- ① The space of n -cochains, $n \geq 0$, is

$$C^n(\mathcal{A}) := \{(n+1)\text{-linear forms } \varphi : \mathcal{A}^{n+1} \rightarrow \mathbb{C}\}, \quad n \geq 0.$$

- ② The coboundary $b : C^n(\mathcal{A}) \rightarrow C^{n+1}(\mathcal{A})$ is given by

$$\begin{aligned} (b\varphi)(a^0, \dots, a^{n+1}) &= \sum_{0 \leq j \leq n} (-1)^j \varphi(a^0, \dots, a^j a^{j+1}, \dots, a^{n+1}) \\ &\quad + (-1)^{n+1} \varphi(a^{n+1} a^0, \dots, a^n). \end{aligned}$$

Lemma

We have $b^2 = 0$.

Definition

The cohomology of the complex $(C^\bullet(\mathcal{A}), b)$ is called the Hochschild cohomology of \mathcal{A} and is denoted $HH^\bullet(\mathcal{A})$.

Example

Let C be a k -dimensional current on a compact manifold M . Define a k -cochain on $\mathcal{A} = C^\infty(M)$ by

$$\varphi_C(f^0, f^1, \dots, f^k) = \frac{1}{k!} \left\langle C, f^0 df^1 \wedge \dots \wedge df^k \right\rangle.$$

Then $b\varphi_C = 0$. In fact, we have:

Theorem (Hochschild-Kostant-Rosenberg, Connes)

There is an isomorphism,

$$HH^k(M) \simeq \mathcal{D}'_k(M).$$

Definition (Cyclic Cochains)

A cochain $\varphi \in C^n(\mathcal{A})$, $n \geq 0$, is *cyclic* when

$$\varphi(a^1, \dots, a^n, a^0) = (-1)^n \varphi(a^0, \dots, a^n) \quad \forall a^j \in \mathcal{A}.$$

We denote by $C_\lambda^n(\mathcal{A})$ the space of cyclic n -cochains.

Example

Let C be a k -dimensional current on a compact manifold M . We saw it defines a Hochschild cocycle. Then

$$C \text{ closed (i.e., } d^t C = 0) \implies \varphi_C \text{ cyclic.}$$

Lemma

$$\varphi \text{ cyclic} \implies b\varphi \text{ cyclic.}$$

Definition

The cohomology of the sub-complex $(C_\lambda^\bullet(\mathcal{A}), b)$ is called the *cyclic cohomology* of \mathcal{A} and is denoted $HC^\bullet(\mathcal{A})$.

Periodic Cyclic Cohomology (Connes, Tsygan)

Definition

Define $B : C^n(\mathcal{A}) \rightarrow C^{n-1}(\mathcal{A})$ by

$$B = AB_0,$$

where $B_0 : C^n(\mathcal{A}) \rightarrow C^{n-1}(\mathcal{A})$ and $A : C^{n-1}(\mathcal{A}) \rightarrow C^{n-1}(\mathcal{A})$ are given by

$$\begin{aligned} B_0\varphi(a^0, \dots, a^{n-1}) &= \varphi(1, a^0, \dots, a^{n-1}) - (-1)^n \varphi(a^0, \dots, a^{n-1}, 1), \\ A\psi(a^0, \dots, a^{n-1}) &= \sum (-1)^{j(n-1)} \psi(a^j, \dots, a^{n-1}, a^0, \dots, a^{j-1}). \end{aligned}$$

Remark

- ❶ If φ is a cyclic cochain, then $B_0\varphi = 0$, and hence $B\varphi = 0$.
- ❷ An n -cochain φ is cyclic if and only if $A\varphi = \frac{1}{n+1}\varphi$.

Example

Let C be a k -dimensional current on a compact manifold M . It defines a Hochschild cocycle,

$$\varphi_C(f^0, f^1, \dots, f^k) = \frac{1}{k!} \left\langle C, f^0 df^1 \wedge \dots \wedge df^k \right\rangle.$$

We then have

$$B\varphi_C = \varphi_{d^t C}.$$

Lemma

We have

$$B^2 = 0 \quad \text{and} \quad bB + Bb = 0.$$

Definition (Even/Odd Cochains)

Define

$$\begin{aligned} C^{\text{even}}(\mathcal{A}) &:= \bigoplus_{k \geq 0} C^{2k}(\mathcal{A}) \\ &= \left\{ \varphi = (\varphi_0, \varphi_2, \dots); \varphi_{2k} \in C^{2k}(\mathcal{A}), \varphi_{2k} = 0 \text{ for large } k \right\}, \end{aligned}$$

and

$$\begin{aligned} C^{\text{odd}}(\mathcal{A}) &:= \bigoplus_{k \geq 0} C^{2k+1}(\mathcal{A}) \\ &= \left\{ \varphi = (\varphi_1, \varphi_3, \dots); \varphi_{2k+1} \in C^{2k+1}(\mathcal{A}), \varphi_{2k+1} = 0 \text{ for large } k \right\}. \end{aligned}$$

Proposition

We have a 2-periodic complex,

$$C^{\text{even}}(\mathcal{A}) \overset{b+B}{\rightleftarrows} C^{\text{odd}}(\mathcal{A}).$$

Definition

The cohomology of $(C^{\text{even/odd}}(\mathcal{A}), b + B)$ is called the *periodic cyclic cohomology* of \mathcal{A} and is denoted $HC^{\text{even/odd}}(\mathcal{A})$.

Example

Let $C = C_0 + C_2 + \dots$ be an even current on a compact manifold M . Then C defines an even cochain,

$$\begin{aligned}\varphi_C &= (\varphi_{C_0}, \varphi_{C_2}, \dots), \\ \varphi_{C_{2k}}(f^0, f^1, \dots, f^{2k}) &= \frac{1}{(2k)!} \left\langle C_{2k}, f^0 df^1 \wedge \dots \wedge df^{2k} \right\rangle.\end{aligned}$$

Then

$$\begin{aligned}(b + B)\varphi_C &= B\varphi_C = \varphi_{d^t C}. \\ C \text{ closed} &\implies \varphi_C \text{ even cyclic cocycle}.\end{aligned}$$

Theorem (Connes)

The map $C \rightarrow \varphi_C$ gives rise to isomorphisms,

$$H_{\text{even/odd}}(M) \simeq HC^{\text{even/odd}}(C^\infty(M)).$$

Remark

Assume M is oriented, Riemannian and has even dimension.

- The \hat{A} -form $\hat{A}(R^M)$ defines an even cyclic cocycle by

$$\varphi_{\hat{A}(R^M)} = \varphi_{\hat{A}(R^M)^\vee},$$

i.e., $\varphi_{\hat{A}(R^M)} = (\varphi_0, \varphi_2, \dots)$, with

$$\varphi_{2k}(f^0, f^1, \dots, f^{2k}) = \frac{1}{(2k)!} \int_M f^0 df^1 \wedge \dots \wedge df^{2k} \wedge \hat{A}(R^M).$$

- Likewise the Pfaffian $\text{Pf}(R^M)$, the L -form $L(R^M)$ and the Todd form $\text{Td}(R^M)$ define even cyclic cocycles.

Definition

Let $\varphi \in C^n(\mathcal{A})$. The n -cochain $\varphi \# \text{Tr}$ on $M_q(\mathcal{A}) = \mathcal{A} \otimes M_q(\mathbb{C})$ is defined by

$$\varphi \# \text{Tr}(a^0 \otimes \mu^0, \dots, a^n \otimes \mu^n) := \varphi(a^0, \dots, a^n) \text{Tr} [\mu^0 \mu^1 \cdots \mu^n]$$

for all $a^j \in \mathcal{A}$ and $\mu^j \in M_q(\mathbb{C})$.

Lemma

We have

$$b(\varphi \# \text{Tr}) = (b\varphi) \# \text{Tr}.$$

Theorem (Connes)

The map $\varphi \rightarrow \varphi \# \text{Tr}$ gives rise to isomorphisms,

$$HC^\bullet(\mathcal{A}) \simeq HC^\bullet(M_q(\mathcal{A})).$$

Example

Let C be a k -dimensional current on a compact manifold M . For the cochain,

$$\varphi_C(f^0, f^1, \dots, f^k) = \frac{1}{k!} \left\langle C, f^0 df^1 \wedge \dots \wedge df^k \right\rangle,$$

we have

$$\varphi_C \# \text{Tr}(a^0, a^1, \dots, a^k) = \frac{1}{k!} \left\langle C, \text{Tr} \left[a^0 da^1 \wedge \dots \wedge da^k \right] \right\rangle$$

for all a^j in $M_q(C^\infty(M)) = C^\infty(M, M_q(\mathbb{C}))$.

Pairing with K -Theory ($\mathcal{A} = C^\infty(M)$)

Setup

- M is a compact manifold.
- $E = \text{ran } e$, $e = e^2 \in M_q(C^\infty(M))$.
- F^E is the curvature of the Grassmanian connection ∇^E of E .

Lemma

- 1 $F^E = e(de)^2 = e(de)^2e$.
- 2 $\text{Ch}(F^E) = \sum \frac{(-1)^k}{k!} \text{Tr} [e(de)^k]$.

Proposition

Let $C = C_0 + C_2 + \dots$ be a closed even current on M with associated even cocycle $\varphi_C = (\varphi_{C_0}, \varphi_{C_2}, \dots)$. Then

$$\begin{aligned}\langle C, E \rangle &= \sum (-1)^k \frac{(2k)!}{k!} (\varphi_{C_{2k}} \# \text{Tr})(e, e, \dots, e), \\ &= \varphi_{C_0}(e) + \sum_{k \geq 1} (-1)^k \frac{(2k)!}{k!} (\varphi_{C_{2k}} \# \text{Tr}) \left(e - \frac{1}{2}, e, \dots, e \right).\end{aligned}$$

Pairing with K -Theory (General Case)

Setup

\mathcal{A} is a unital algebra over \mathbb{C} .

Definition

A cochain $\varphi \in C^n(\mathcal{A})$, $n \geq 1$, is *normalized* when

$$\varphi(a^0, a^1, \dots, a^n) = 0 \quad \text{whenever } a^j = 1 \text{ for some } j \geq 1.$$

Lemma

Any class in $HC^{\text{even}}(\mathcal{A})$ contains a normalized representative.

Example

Let C be a k -dimensional current on a compact manifold M with associated cochain,

$$\varphi_C(f^0, f^1, \dots, f^k) = \frac{1}{k!} \left\langle C, f^0 df^1 \wedge \dots \wedge df^k \right\rangle.$$

Then φ_C is a normalized cochain.

Definition

Let $\varphi = (\varphi_0, \varphi_2, \dots)$ be an even cyclic cocycle and let $\mathcal{E} = e\mathcal{A}^q$, $e = e^2 \in M_q(\mathcal{A})$, a finitely generated projective module. The pairing of φ and \mathcal{E} is

$$\langle \varphi, \mathcal{E} \rangle := \varphi_0(e) + \sum_{k \geq 1} (-1)^k \frac{(2k)!}{k!} (\varphi_{2k} \# \text{Tr}) \left(e - \frac{1}{2}, e, \dots, e \right).$$

Theorem (Connes)

The above pairing descends to a bilinear pairing,

$$\langle \cdot, \cdot \rangle : HC^{\text{even}}(\mathcal{A}) \times K_0(\mathcal{A}) \longrightarrow \mathbb{C}.$$

Example

Let $C = C_0 + C_2 + \cdots$ be a closed even current on a compact manifold M and let $E = \text{ran } e$, $e = e^2 \in M_q(C^\infty(M))$, so that $\mathcal{E} = C^\infty(M, E) \simeq C^\infty(M)^q$. Then

$$\langle \varphi_C, \mathcal{E} \rangle = \langle C, E \rangle .$$

The Atiyah-Singer Index Theorem

Example

Assume M is spin, oriented, Riemannian and has even dimension.

- ① For $C = \hat{A}(R^M)^\vee$ and the Dirac operator,

$$\langle \varphi_{\hat{A}(R^M)}, \mathcal{E} \rangle = \langle \hat{A}(R^M)^\vee, E \rangle \quad \text{and} \quad \text{ind}_{\mathcal{D}}[\mathcal{E}] = \text{ind}_{\mathcal{D}}[E].$$

- ② By the K -theoretic version of the Atiyah-Singer Index Theorem explained in Chapter 12,

$$\text{ind}_{\mathcal{D}}[E] = (2i\pi)^{-\frac{n}{2}} \langle \hat{A}(R^M)^\vee, E \rangle.$$

- ③ Therefore, the Atiyah-Singer Index Theorem can be further restated as

Theorem

$$\text{ind}_{\mathcal{D}}[\mathcal{E}] = (2i\pi)^{-\frac{n}{2}} \langle \varphi_{\hat{A}(R^M)}, \mathcal{E} \rangle \quad \forall \mathcal{E} \in K_0(C^\infty(M)).$$

The Connes-Chern Character

Setup

- $(\mathcal{A}, \mathcal{H}, D)$ is a spectral triple, $\mathcal{H} = \mathcal{H}^+ \oplus \mathcal{H}^-$
- $\gamma := 1_{\mathcal{H}^+} - 1_{\mathcal{H}^-}$ is the grading operator, $\gamma^2 = 1$, $\gamma^* = \gamma$.
- $F := D|D|^{-1}$ is the sign of D .

Assumption

$(\mathcal{A}, \mathcal{H}, D)$ is p -summable with $p \geq 1$, i.e.,

$$\mu_k(D^{-1}) = O(k^{-\frac{1}{p}}).$$

That is, D^{-1} is an infinitesimal operator of order $1/p$.

The Connes-Chern Character

Lemma

Let $q > p$. Then

$$\mathrm{Tr} \left[|[F, a^1] \cdots [F, a^q]| \right] < \infty \quad \forall a^j \in \mathcal{A}.$$

Definition (Connes)

For $n > \frac{1}{2}(p+1)$ let τ_{2n} be the $2n$ -cochain defined by

$$\tau_{2n}(a^0, \dots, a^{2n}) = \frac{1}{2} \frac{n!}{(2n)!} \mathrm{Tr} \left[\gamma F[F, a^0] \cdots [F, a^{2n}] \right], \quad a^j \in \mathcal{A}.$$

The Connes-Chern Character

Lemma (Connes)

- ① τ_{2n} is a normalized cyclic cocycle.
- ② The class of τ_{2n} in $HC^{\text{even}}(\mathcal{A})$ does not depend on n .

Definition

The class of τ_{2n} in $HC^{\text{even}}(\mathcal{A})$ is called the *Connes-Chern character* and is denoted $\text{Ch}(\mathcal{A}, D)$.

Theorem (Connes)

For all $\mathcal{E} \in K_0(\mathcal{A})$,

$$\text{ind}_D[\mathcal{E}] = \langle \text{Ch}(\mathcal{A}, D), \mathcal{E} \rangle .$$

Remark

Connes' cocycle τ_{2n} is difficult to compute in practice, because its definition involves

- 1 The operator F which is like a ψ DO.
- 2 The operator trace which is not a local functional, i.e., it does not vanish on infinitesimals of a given order.

Therefore, it was sought for a more convenient representative of the Connes-Chern character.

Assumption

The spectral triple $(\mathcal{A}, \mathcal{H}, D)$ is θ -summable, i.e.,

$$\mathrm{Tr} \left[e^{-tD^2} \right] < \infty \quad \forall t > 0.$$

Remark

p -summability $\implies \theta$ -summability.

The JLO Cocycle

Definition (Jaffe-Lesniewski-Osterwlder)

For $t > 0$ define $\varphi_{\text{JLO}}^t = (\varphi_0, \varphi_2, \dots)$ by

$$\varphi_{2k}^t(a^0, \dots, a^{2k}) = t^k \int_{\Delta_{2k}} \text{Tr} \left[a^0 e^{-ts_0 D^2} [D, a^1] e^{-ts_1 D^2} \dots [D, a^{2k}] e^{-ts_{2k} D^2} \right] ds, \quad a^j \in \mathcal{A},$$

where Δ_{2k} is the $2k$ -simplex

$$\Delta_{2k} := \{(s_0, \dots, s_{2k}) \in \mathbb{R}^{2k+1}; s_0 + \dots + s_{2k} = 1, s_j \geq 0\}.$$

Remark

As observed by Quillen, φ_{JLO}^t can be interpreted as the Chern character of a superconnection on the algebra of cochains.

The JLO Cocycle

Proposition (Jaffe-Lesniewski-Osterwlder, Connes, Getzler-Szenes)

① $(b + B)\varphi_{\text{JLO}}^t = 0.$

② For all $t > 0$ and $\mathcal{E} \in K_0(\mathcal{A})$,

$$\text{ind}_D[\mathcal{E}] = \langle \varphi_{\text{JLO}}^t, \mathcal{E} \rangle.$$

Remark

① $\varphi_{2k}^t \neq 0$ for large k , so φ_{JLO}^t is NOT a cochain in $C^{\text{even}}(\mathcal{A})$.

② This is a cocycle in *entire cyclic cohomology*, i.e., in the cohomology of infinite cochains $\varphi = (\varphi_0, \varphi_2, \dots)$ such that, for any finite subset $S \subset \mathcal{A}$, the power series,

$$\sum_{k \geq 0} \frac{z^k}{k!} \varphi_{2k}(a^0, \dots, a^{2k}), \quad a^j \in S,$$

are entire functions.

Retraction of the JLO Cocycle

Assumption

$(\mathcal{A}, \mathcal{H}, D)$ is p -summable.

Remark

This assumption ensures us the existence of the Connes-Chern character.

Theorem (Connes)

Connes's cocycle τ_{2n}^D and the JLO cocycle φ_{JLO}^t are cohomologous in entire cyclic cohomology.

Retraction of the JLO Cocycle

Assumption

As $t \rightarrow 0^+$,

$$\varphi_{2k}^t = \sum_{\substack{\alpha, l \geq 0 \\ \alpha + l > 0}} t^{-\alpha} (\log^l t) \varphi_{2k}^{(\alpha, l)} + \varphi_{2k}^{(0,0)} + o(t),$$

where the $\varphi_k^{(\alpha, l)}$ are $2k$ -cochains.

Definition

The finite part of the JLO cocycle is

$$\text{FP}_{t \rightarrow 0^+} \varphi_{\text{JLO}}^t := \left(\varphi_0^{(0,0)}, \varphi_2^{(0,0)}, \dots \right).$$

Retraction of the JLO Cocycle

Theorem (Connes-Moscovici)

- 1 $\text{FP}_{t \rightarrow 0+} \varphi_{\text{JLO}}^t$ is an even periodic cyclic cocycle representing the Connes-Chern character.
- 2 For all $\mathcal{E} \in K_0(\mathcal{A})$,

$$\text{ind}_D[\mathcal{E}] = \langle \text{FP}_{t \rightarrow 0+} \varphi_{\text{JLO}}^t, \mathcal{E} \rangle.$$

Example

For a Dirac spectral triple $(C^\infty(M), L^2(M, \mathcal{S}), \mathcal{D})$,

$$\begin{aligned} \text{FP}_{t \rightarrow 0+} \varphi_{\text{JLO}}^t &= (\varphi_0, \varphi_{2k}, \dots), \\ \varphi_{2k}(f^0, \dots, f^{2k}) &= \frac{(2i\pi)^{-\frac{n}{2}}}{(2k)!} \int_M f^0 df^1 \wedge \dots \wedge df^k \wedge \hat{A}(R^M). \end{aligned}$$

Dimension Spectrum

For $T \in \mathcal{L}(\mathcal{H})$ set

$$\begin{aligned}\delta^0(T) &= T, & \delta^1(T) &:= [|D|, T], & \delta^2(T) &:= [|D|, [|D|, T]], \\ \delta^j(T) &= \underbrace{[|D|, [|D|, \dots, [|D|, T] \dots]]}_{j \text{ times}}.\end{aligned}$$

Definition

\mathcal{B} is the algebra generated by γ and the $\delta^j(a)$ and $\delta^j([D, a])$, $a \in \mathcal{A}$.

Fact

For any $b \in \mathcal{B}$, the function $\zeta_b(z) := \text{Tr}[b|D|^{-z}]$ is analytic for $\Re z \gg 1$.

Definition

The *dimension spectrum* is the maximal subset $\Sigma \subset \mathbb{C}$ such that all the functions $\zeta_b(z)$, $b \in \mathcal{B}$, have an analytic continuation to $\mathbb{C} \setminus \Sigma$.

Example

A Dirac spectral triple $(C^\infty(M), L^2(M, \mathcal{S}), \mathcal{D})$ has dimension spectrum,

$$\Sigma = \{k \in \mathbb{Z}; k \leq \dim M\}.$$

Key Assumptions

Assumption

The dimension spectrum Σ is *discrete* and is *simple*, i.e., the zeta functions $\zeta_b(z)$, $b \in \mathcal{B}$, have at worst simple pole singularities on Σ .

Assumption

The spectral triple $(\mathcal{A}, \mathcal{H}, D)$ is *regular*, i.e., all the operators in \mathcal{B} are bounded.

Definition

$\Psi_D^q(\mathcal{A})$, $q \in \mathbb{C}$, is the space of operators such that

$$P \simeq b_0|D|^m + b_1|D|^{m-1} + \dots, \quad b_j \in \mathcal{B},$$

where \simeq means that, for all $N \in \mathbb{N}$ and $s \in \mathbb{R}$,

$$|D|^{s-m} \left(P - \sum_{j < N} b_j |D|^{q-j} \right) |D|^{N-s} \text{ is bounded.}$$

Proposition (Connes-Moscovici)

Under the previous assumptions:

- ① $\Psi_D^\bullet(\mathcal{A}) := \bigcup_{q \in \mathbb{C}} \Psi_D^q(\mathcal{A})$ is an algebra.
- ② The following formula defines a trace on $\Psi_D^\bullet(\mathcal{A})$,

$$\oint P := \text{Res}_{z=0} \text{Tr} [P|D|^{-z}], \quad P \in \Psi_D^\bullet(\mathcal{A}).$$

Theorem (Connes-Moscovici)

- ① *The following formulas define a normalized even cocycle $\varphi_{\text{CM}} = (\varphi_0, \varphi_2, \dots)$ in the periodic cyclic cohomology of \mathcal{A} ,*

$$\varphi_0(a^0) = \text{Res}_{z=0} \{ \Gamma(z) \text{Tr} [\gamma a^0 |D|^{-z}] \},$$

$$\varphi_{2k}(a^0, \dots, a^{2k}) = \sum c_{k,\alpha} \oint a^0 [D, a^1]^{[\alpha_1]} \dots [D, a^{2k}]^{[\alpha_{2k}] |D|^{-2(|\alpha|+k)}},$$

where the sum is finite, the $c_{k,\alpha}$ are universal constants, and we have set

$$T^{[j]} := \overbrace{[D^2, [D^2, \dots [D^2, T] \dots]]}^{j \text{ times}}.$$

- ② *The CM cocycle represents the Connes-Chern character, and so we have*

$$\text{ind}_D[\mathcal{E}] = \langle \varphi_{\text{CM}}, \mathcal{E} \rangle \quad \forall \mathcal{E} \in K_0(\mathcal{A}).$$

Example

For a Dirac spectral triple $(C^\infty(M), L^2(M, \mathcal{S}), \mathcal{D})$,

$$\varphi_{\text{CM}} = (\varphi_0, \varphi_{2k}, \dots),$$

$$\varphi_{2k}(f^0, \dots, f^{2k}) = \frac{(2i\pi)^{-\frac{n}{2}}}{(2k)!} \int_M f^0 df^1 \wedge \dots \wedge df^{2k} \wedge \hat{A}(R^M).$$

Classical	NCG
Manifold M	Spectral triple $(\mathcal{A}, \mathcal{H}, D)$
Vector bundles over M	F.g. projective modules over \mathcal{A}
$\text{ind} \mathcal{D}_E$	$\text{ind}_D[\mathcal{E}]$
Differential forms	Cyclic cocycles
Atiyah-Singer Index Formula	Connes-Chern character & CM cocycle
Characteristic classes	Cyclic cohomology for Hopf algebras