

Noncommutative Geometry  
Chapter 10:  
Connes' Trace Theorem, Integration Formula, and  
Lower Dimensional Volumes

Sichuan University, Fall 2022

## Definition (Weak Schatten Classes $\mathcal{L}^{p,\infty}$ )

Let  $p \in (0, \infty)$ .

- 1 The weak Schatten class  $\mathcal{L}^{p,\infty}$  consists of all  $T \in \mathcal{L}(\mathcal{H})$  such that

$$\mu_n(T) = O\left(n^{-\frac{1}{p}}\right) \quad \text{as } n \rightarrow \infty.$$

- 2 For  $T \in \mathcal{L}(\mathcal{H})$ , we set

$$\|T\|_{p,\infty} := \sup_{n \geq 0} (n+1)^{\frac{1}{p}} \mu_n(T).$$

## Proposition

- ①  $\mathcal{L}^{p,\infty}$  is a two-sided ideal of  $\mathcal{L}(\mathcal{H})$ .
- ②  $\|\cdot\|_{p,\infty}$  is a quasi-norm which respect to which  $\mathcal{L}^{p,\infty}$  is a quasi-Banach ideal.

## Remark

- ① If  $p > 1$ , then  $\|\cdot\|_{p,\infty}$  is equivalent to the norm,

$$\|T\|'_{p,\infty} = \sup_{N \geq 1} \left\{ N^{-1+\frac{1}{p}} \sum_{j < N} \mu_j(T) \right\}, \quad T \in \mathcal{L}^{p,\infty}.$$

- ② In this case,  $\mathcal{L}^{p,\infty}$  is a Banach ideal (w.r.t. that norm).

# Reminder: Weak Schatten Classes

## Notation

$\mathcal{L}_0^{p,\infty}$  is the closure in  $\mathcal{L}^{p,\infty}$  of the ideal of finite-rank operators.

## Proposition

① We have

$$\mathcal{L}_0^{p,\infty} = \left\{ T \in \mathcal{L}(\mathcal{H}); \mu_n(T) = o\left(n^{-\frac{1}{p}}\right) \right\}.$$

② We have a strict inclusion  $\mathcal{L}_0^{p,\infty} \subsetneq \mathcal{L}^{p,\infty}$ .

③ In particular,  $\mathcal{L}^{p,\infty}$  is not separable.

## Remark

For  $0 < p < q$  we have strict inclusions,

$$\mathcal{L}^p \subsetneq \mathcal{L}_0^{p,\infty} \subsetneq \mathcal{L}^{p,\infty} \subsetneq \mathcal{L}^q.$$

## Reminder: Quantized Calculus

Classical	Quantum (Connes)
Complex variable	Operator on Hilbert space $\mathcal{H}$
Real variable	Selfadjoint operator on $\mathcal{H}$
Infinitesimal variable	Compact operator on $\mathcal{H}$
Infinitesimal of order $\alpha$	Compact operator s.t. $\mu_j(T) = O(j^{-\alpha})$
Integral $\int f(x)dx$	NC integral $\oint T$

Here the  $\mu_j(A)$  are the singular values of  $A$ .

# Reminder: Quantized Calculus

## Definition (Infinitesimal Operator)

An operator  $T \in \mathcal{L}(\mathcal{H})$  is *infinitesimal* if, for all  $\epsilon > 0$ , there is a subspace  $E \subset \mathcal{H}$ ,  $\dim E < \infty$ , such that

$$\|T|_{E^\perp}\| < \epsilon.$$

## Proposition

Let  $T \in \mathcal{L}(\mathcal{H})$ . Then TFAE

- ①  $T$  is an infinitesimal operator.
- ②  $\mu_j(T) \rightarrow 0$  as  $j \rightarrow \infty$ .
- ③  $T$  is a compact operator.

## Definition

A (compact) operator  $T$  is an infinitesimal of order  $\alpha$ ,  $\alpha > 0$ , if

$$\mu_j(T) = O(j^{-\alpha}) \quad \text{as } j \rightarrow \infty.$$

That is,

$$T \in \mathcal{L}^{p,\infty} \quad \text{with } p = \alpha^{-1}.$$

## Ansatz for a NC Integral (Connes)

The NC integral should have the following properties:

- ① It is defined on infinitesimals of order 1, i.e., on the weak trace class  $\mathcal{L}^{1,\infty}$ .
- ② It should take non-negative values on positive operators.
- ③ It vanishes on infinitesimals of order  $> 1$ .
- ④ It vanishes on the commutator space,

$$\text{Com}(\mathcal{L}^{1,\infty}) = \text{Span} \{ [A, T]; A \in \mathcal{L}(\mathcal{H}), T \in \mathcal{L}^{1,\infty} \}.$$

That is, it should be a positive trace on  $\mathcal{L}^{1,\infty}$ .



## Remark

- It can be shown that  $\text{Com}(\mathcal{L}^{1,\infty})$  contains trace-class operators, including infinitesimals of order  $> 1$ .
- Thus, the 3rd condition is encapsulated by the 4th condition.

## Remarks

- ① Any positive trace on  $\mathcal{L}^{1,\infty}$  is continuous.
- ② Any continuous trace is linear combination of positive traces.

## Definition

An eigenvalue sequence  $\lambda(T) = \{\lambda_j(T)\}_{j \geq 0}$  is any sequence s.t.:

- 1  $\lambda_j(T)$  is an eigenvalue of  $T$  and is repeated according to (algebraic) multiplicity.
- 2  $|\lambda_0(T)| \geq |\lambda_1(T)| \geq \dots$ .

## Remarks

- 1 An eigenvalue sequence need not be unique.
- 2 If  $T \geq 0$ , then  $\lambda_j(T) = \mu_j(T)$ .
- 3 We shall denote by  $\lambda(T)$  any eigenvalue sequence for  $T$ .

# Reminder: NC Integral

## Notation

- $\ell^\infty = \mathcal{C}^*$ -algebra of **bounded** complex-valued sequences.
- $\mathfrak{c}_0$  = closed ideal of sequences converging to 0.

## Definition

An extended limit is any positive linear map  $\lim_\omega : \ell^\infty \rightarrow \mathbb{C}$  s.t.:

- (i)  $\lim_\omega 1 = 1$ .
- (ii)  $\lim_\omega a_j = 0$  if  $(a_j) \in \mathfrak{c}_0$ .

## Remarks

- 1 If  $a_j \rightarrow L$ , then  $\lim_\omega a_j = L$ .
- 2 We have a one-to-one correspondence,

$$\{\text{extended limits}\} \longleftrightarrow \{\text{states on } \ell^\infty / \mathfrak{c}_0\}.$$

# Reminder: NC Integral

## Definition

If  $\lim_\omega$  is an extended limit, then  $\mathrm{Tr}_\omega : \mathcal{L}^{1,\infty} \rightarrow \mathbb{C}$  is given by

$$\mathrm{Tr}_\omega(T) := \lim_\omega \left\{ \frac{1}{\log N} \sum_{j < N} \lambda_j(T) \right\}, \quad T \in \mathcal{L}^{1,\infty}.$$

## Proposition (Dixmier)

- 1  $\mathrm{Tr}_\omega$  is a positive linear trace on  $\mathcal{L}^{1,\infty}$ .
- 2 It is annihilated by  $\mathcal{L}_0^{1,\infty}$ , and hence it vanishes on infinitesimals of order  $> 1$ .

## Definition

$\mathrm{Tr}_\omega$  is called the Dixmier trace associated with the extended limit  $\lim_\omega$ .

# Reminder: NC Integral

## Definition (Connes)

- 1 An operator  $T \in \mathcal{L}^{1,\infty}$  is called measurable if the value of  $\text{Tr}_\omega(T)$  does not depend on the extended limit.
- 2 We denote by  $\mathcal{M}$  the class of measurable operators.
- 3 If  $T \in \mathcal{M}$ , we define its NC integral by

$$\oint T := \text{Tr}_\omega(A),$$

where  $\text{Tr}_\omega$  is any Dixmier trace.

## Proposition (Connes, Lord-Sukochev-Zanin)

Given  $T \in \mathcal{L}^{1,\infty}$ , TFAE:

- 1  $T$  is measurable and  $\oint T = L$ .
- 2 We have

$$\lim_{N \rightarrow \infty} \frac{1}{\log N} \sum_{j < N} \lambda_j(T) = L.$$

## Proposition

- ①  $\mathcal{M}$  is a closed subspace of  $\mathcal{L}^{1,\infty}$  that contains  $\text{Com}(\mathcal{L}^{1,\infty})$  and  $\mathcal{L}_0^{1,\infty}$ .
- ②  $f : \mathcal{M} \rightarrow \mathbb{C}$  is a positive linear functional that vanishes on  $\text{Com}(\mathcal{L}^{1,\infty})$  and  $\mathcal{L}_0^{1,\infty}$ .
- ③ In particular, this is a positive trace that annihilates infinitesimals of order  $> 1$ .

# Reminder: Strong Measurability

## Definition

A trace  $\varphi : \mathcal{L}^{1,\infty} \rightarrow \mathbb{C}$  is called normalized if

$$(T \geq 0 \text{ and } \lambda_j(T) = (j+1)^{-1}) \implies \varphi(T) = 1.$$

## Remarks

- ❶ Every Dixmier trace  $\text{Tr}_\omega$  is a normalized trace.
- ❷ There are (uncountably) many normalized positive traces on  $\mathcal{L}^{1,\infty}$  that are not Dixmier traces.

## Definition

An operator  $T \in \mathcal{L}^{1,\infty}$  is called strongly measurable (or positively measurable) if  $\varphi(T)$  takes the same value as  $\varphi$  ranges over all normalized positive traces.

# Reminder: Strong Measurability

## Notation

$\mathcal{M}_s$  = class of strongly measurable operators.

## Proposition

- ①  $\mathcal{M}_s$  is a closed subspace of  $\mathcal{L}^{1,\infty}$ .
- ② It contains  $\text{Com}(\mathcal{L}^{1,\infty})$  and  $\mathcal{L}_0^{1,\infty}$ . In particular, it contains all infinitesimals of order  $> 1$ .
- ③ It does not depend on the inner product of  $\mathcal{L}(\mathcal{H})$ .

## Proposition

Let  $T \in \mathcal{L}^{1,\infty}$  be such that

$$\sum_{j < N} \lambda_j(T) = L \cdot \log N + O(1).$$

Then  $T$  is strongly measurable and  $\int T = L$ .



## Definition

If  $A \in \mathcal{L}(\mathcal{H})$ , its real and imaginary parts are

$$\Re A := \frac{1}{2} (A + A^*), \quad \Im A := \frac{1}{2i} (A - A^*).$$

## Definition

If  $A^* = A$ , its positive and negative parts are

$$A^+ := \frac{1}{2} (|A| + A), \quad A^- := \frac{1}{2} (|A| - A).$$

## Reminder: Weyl Operators

### Definition

If  $A = A^*$  is compact, then  $\pm\lambda_j^\pm(A)$ ,  $j \geq 0$ , are the positive eigenvalues of  $A$  such that

$$\lambda_0^\pm(A) \geq \lambda_1^\pm(A) \geq \cdots,$$

where each eigenvalue is repeated according to multiplicity.

### Remark

In other words,

$$\lambda_j^\pm(A) = \lambda_j(A^\pm) = \mu_j(A^\pm), \quad j \geq 0.$$

## Definition

We say that  $A \in \mathcal{L}^{p,\infty}$  is a Weyl operator if one of the following conditions is satisfied:

- (i)  $A \geq 0$  and  $\lim_{j \rightarrow \infty} j^{1/p} \lambda_j(A)$  exists.
- (ii)  $A = A^*$  and  $\lim_{j \rightarrow \infty} j^{1/p} \lambda_j^\pm(A)$  both exist.
- (iii) The real and imaginary parts both satisfy (ii).

# Reminder: Weyl Operators

## Definition

Let  $A$  be a Weyl operator in  $\mathcal{L}^{p,\infty}$ .

- ① If  $A \geq 0$ , then we set

$$\Lambda(A) := \lim_{j \rightarrow \infty} j^{\frac{1}{p}} \lambda_j(A).$$

- ② If  $A^* = A$ , then we set

$$\Lambda^\pm(A) := \lim_{j \rightarrow \infty} j^{\frac{1}{p}} \lambda_j^\pm(A).$$

- ③ In general, we set

$$\Lambda^\pm(A) := \Lambda^\pm(\Re A) + i\Lambda^\pm(\Im A).$$

# Reminder: Weyl Operators

## Proposition

Let  $A$  be a Weyl operator in  $\mathcal{L}^{1,\infty}$ .

- ①  $A$  is strongly measurable, and we have

$$\int A = \Lambda^+(A) - \Lambda^-(A).$$

- ② In particular, if  $A^* = A$ , then

$$\int A = \lim_{j \rightarrow \infty} j\lambda_j^+(A) - \lim_{j \rightarrow \infty} j\lambda_j^-(A).$$

## Corollary

If  $|A|$  is a Weyl operator in  $\mathcal{L}^{1,\infty}$ , then  $|A|$  is strongly measurable, and

$$\int |A| = \lim_{j \rightarrow \infty} j\mu_j(A).$$

# Positive-Elliptic Operators

## Setup

- $(M^n, g)$  = closed Riemannian manifold.
- $(\mathcal{E}, \|\cdot\|_x)$  = smooth Hermitian vector bundle over  $M$ .

## Definition

We say that  $P \in \Psi^m(M, \mathcal{E})$ ,  $m > 0$ , is positive-elliptic if the following conditions are satisfied:

- (i)  $P$  is elliptic with  $\sigma_m(P)(x, \xi) > 0$ .
- (ii)  $P$  is selfadjoint and  $\geq 0$ .
- (iii)  $P$  is invertible, i.e.,  $0 \notin \text{Sp}(P)$ .

## Lemma

*For every  $\sigma(x, \xi) \in S_m(T^*M, \mathcal{E})$  such that  $\sigma(x, \xi) > 0$  we can find  $P \in \Psi^m(M, \mathcal{E})$  such that  $\sigma_m(P)(x, \xi) = \sigma(x, \xi)$  and  $P$  is positive-elliptic.*

## Proof.

- Let  $Q \in \Psi^m(M, \mathcal{E})$  be such that  $\sigma_m(Q)(x, \xi) = \sigma(x, \xi)$ .
- By assumption  $\sigma(x, \xi) > 0$ . Thus,  $\sigma(x, \xi)$  is invertible for all  $(x, \xi) \in T^*M \setminus 0$ , and hence  $Q$  is elliptic.
- Therefore,  $|Q| = \sqrt{Q^*Q}$  is an operator in  $\Psi^m(M, \mathcal{E})$  such that

$$\sigma_m(|Q|)(x, \xi) = |\sigma_m(Q)(x, \xi)| = \sigma(x, \xi) > 0.$$

- By construction  $|Q|$  is selfadjoint and  $\geq 0$ .
- Thus, the conditions (i)–(ii) are satisfied.
- We get condition (iii) by taking  $P = |Q| + \Pi_0$ , where  $\Pi_0$  is the orthogonal projection onto  $\ker |Q| = \ker Q$ .



## Remark

Let  $P \in \Psi^m(M, \mathcal{E})$  be positive-elliptic.

- 1 The spectrum of  $P$  can be arranged as a non-decreasing sequence,

$$0 < \lambda_0(P) \leq \lambda_1(P) \leq \dots$$

where each eigenvalue is repeated according to multiplicity.

- 2 As  $j \rightarrow \infty$ , we have the Weyl's law,

$$\lambda_j(P) \sim j^{\frac{m}{n}} \left( \frac{1}{n} \int_{S^*M} \text{tr}_{\mathcal{E}} [\sigma_m(P)(x, \xi)^{-\frac{n}{m}}] dx d\xi \right)^{-\frac{m}{n}}.$$



## Lemma

Let  $P \in \Psi^m(M, \mathcal{E})$  be positive-elliptic.

(i)  $P^{-1}$  is a Weyl operator in  $\mathcal{L}^{nm^{-1}, \infty}$ , and we have

$$\lim_{j \rightarrow \infty} j^{\frac{m}{n}} \lambda_j(P^{-1}) = \left( \frac{1}{n} \int_{S^*M} \operatorname{tr}_{\mathcal{E}} [\sigma_m(P)(x, \xi)^{-\frac{n}{m}}] dx d\xi \right)^{\frac{m}{n}}.$$

(ii) If  $m = n$ , then  $P^{-1}$  is strongly measurable, and we have

$$\int P^{-1} = \frac{1}{n} \int_{S^*M} \operatorname{tr}_{\mathcal{E}} [\sigma_n(P)(x, \xi)^{-1}] dx d\xi.$$

# Positive-Elliptic Operators

## Proof.

- The Weyl's law for  $P$  gives

$$\begin{aligned} j^{\frac{m}{n}} \lambda_j(P^{-1}) &= \left( j^{-\frac{m}{n}} \lambda_j(P) \right)^{-1} \\ &\longrightarrow \left( \frac{1}{n} \int_{S^*M} \operatorname{tr}_{\mathcal{E}} [\sigma_m(P)(x, \xi)^{-\frac{n}{m}}] dx d\xi \right)^{\frac{m}{n}}. \end{aligned}$$

- This shows that  $P^{-1}$  is a Weyl operator in  $\mathcal{L}^{nm^{-1}, \infty}$ .
- In particular, as  $P^{-1} \geq 0$ , we have  $\mu_j(P^{-1}) = \lambda_j(P^{-1}) = O(j^{m/n})$ , and hence  $P^{-1} \in \mathcal{L}^{nm^{-1}, \infty}$ .
- For  $m = n$ , we get that  $P^{-1}$  is a Weyl operator in  $\mathcal{L}^{1, \infty}$ .
- Thus  $P^{-1}$  is strongly measurable, and we have

$$\begin{aligned} \int P^{-1} &= \lim_{j \rightarrow \infty} j \lambda_j(P^{-1}) \\ &= \frac{1}{n} \int_{S^*M} \operatorname{tr}_{\mathcal{E}} [\sigma_n(P)(x, \xi)^{-1}] dx d\xi. \end{aligned}$$



## Proposition

If  $P \in \Psi^{-m}(M, \mathcal{E})$ ,  $m > 0$ , then  $P$  is in the weak Schatten class  $\mathcal{L}^{nm^{-1}, \infty}$ . That is,  $P$  is an infinitesimal of order  $\geq mn^{-1}$ .

## Proof.

- Let  $Q \in \Psi^m(M, \mathcal{E})$  be positive-elliptic.
- $Q^{-1}$  is in  $\mathcal{L}^{nm^{-1}, \infty}$ .
- $PQ$  is a  $\Psi$ DO of order  $\leq 0$ , and hence is bounded.
- It then follows that  $P = (PQ)Q^{-1}$  is in the ideal  $\mathcal{L}^{nm^{-1}, \infty}$ .



## Reminder

- If  $P \in \Psi^{\mathbb{Z}}(M)$ , then there is a unique density  $c_P(x) \in C^\infty(M, |\Lambda|(M))$  such that, for every chart  $\kappa : U \rightarrow V$ , we have

$$\kappa_* (c_P(x)) = c_{\kappa_* (P|_U)}(x) := \int_{\mathbb{S}^{n-1}} p_{-n}(x, \xi) d\xi,$$

where  $p_{-n}(x, \xi)$  is the symbol of degree  $-n$  of  $\kappa_* (P|_U)$ .

- If  $P \in \Psi^{\mathbb{Z}}(M, \mathcal{E})$ , then there is a unique density  $c_P(x) \in C^\infty(M, |\Lambda|(M) \otimes \text{End}(\mathcal{E}))$  such that, for every trivialization  $\tau : U \rightarrow V$ , we have

$$\tau_* (c_P(x)) = c_{\tau_* (P|_U)}(x).$$

- If  $P \in \Psi^{-n}(M, \mathcal{E})$ , then

$$c_P(x) = \left( \int_{|\xi|_g=1} \sigma_{-n}(P)(x, \xi) d\xi \right) \nu(g)(x).$$

# Noncommutative Residue

## Reminder

- The noncommutative residue  $\text{Res} : \Psi^{\mathbb{Z}}(M, \mathcal{E}) \rightarrow \mathbb{C}$  is given by

$$\text{Res}(P) = \int_M \text{tr}_{\mathcal{E}}[c_P(x)], \quad P \in \Psi^{\mathbb{Z}}(M, \mathcal{E}).$$

- If  $P \in \Psi^{-n}(M, \mathcal{E})$ , then

$$\text{Res}(P) = \int_{S^*M} \text{tr}_{\mathcal{E}} [\sigma_{-n}(P)(x, \xi)] dx d\xi.$$

## Proposition

- 1 The NC residue is a trace on the algebra  $\Psi^{\mathbb{Z}}(M, \mathcal{E})$ .
- 2 It vanishes on differential operators and  $\Psi$ DOs of order  $\leq -(n+1)$ , including smoothing operators.
- 3 In particular,

$$P_1 - P_2 \in \Psi^{-(n+1)}(M, \mathcal{E}) \implies \text{Res}(P_1) = \text{Res}(P_2).$$

## Proposition

If  $P \in \Psi^{-m}(M, \mathcal{E})$ ,  $m > 0$ , then  $P$  is in the weak Schatten class  $\mathcal{L}^{nm^{-1}, \infty}$ . That is,  $P$  is an infinitesimal of order  $\geq mn^{-1}$ .

## Corollary

Every  $P \in \Psi^{-n}(M, \mathcal{E})$  is the weak trace class  $\mathcal{L}^{1, \infty}$ , i.e., it's an infinitesimal of order  $\geq 1$ .

# Connes' Trace Theorem

## Theorem (Connes' Trace Theorem)

If  $P \in \Psi^{-n}(M, \mathcal{E})$ , then  $P$  is strongly measurable, and we have

$$\int P = \frac{1}{n} \text{Res}(P).$$

## Remark

- ① Connes (CMP '88) established measurability and derived the trace formula.
- ② Lord-Potapov-Sukochev (Adv. Math. '13) obtained strong measurability.

Proof.

**Step 1:**  $P \in \Psi^{-(n+1)}(M, \mathcal{E})$ .

- In this case  $\text{Res}(P) = 0$ .
- Moreover,  $P$  is in the weak Schatten class  $\mathcal{L}^{p, \infty}$ , with  $p = n(n+1)^{-1} < 1$ .
- Thus,  $P$  is in  $\mathcal{L}^1 \subset (\mathcal{L}^{1, \infty})_0$ .
- It follows that  $P$  is strongly measurable, and we have

$$\int P = 0 = \frac{1}{n} \text{Res}(P).$$





Proof.

**Step 2:**  $P = Q^{-1}$ , where  $Q \in \Psi^n(M, \mathcal{E})$  is positive-elliptic.

- In this case we saw that  $P$  is strongly measurable, and we have

$$\oint P = \frac{1}{n} \int_{S^*M} \text{tr}_{\mathcal{E}} [\sigma_n(Q)(x, \xi)^{-1}] dx d\xi.$$

- Here  $\sigma_n(Q)(x, \xi)^{-1} = \sigma_{-n}(Q^{-1})(x, \xi) = \sigma_{-n}(P)(x, \xi)$ .
- Thus,

$$\oint P = \frac{1}{n} \int_{S^*M} \text{tr}_{\mathcal{E}} [\sigma_{-n}(P)(x, \xi)] dx d\xi = \frac{1}{n} \text{Res}(P).$$



Proof.

**Step 3:**  $\sigma_{-n}(P)(x, \xi) > 0$ .

- Let  $Q \in \Psi^n(M, \mathcal{E})$  be pos.-ellipt. w/  $\sigma_n(Q) = \sigma_{-n}(P)(x, \xi)^{-1}$ .
- Here  $Q^{-1}$  is an operator in  $\Psi^{-n}(M, \mathcal{E})$  with

$$\sigma_{-n}(Q^{-1})(x, \xi) = \sigma_n(Q)(x, \xi)^{-1} = \sigma_{-n}(P)(x, \xi).$$

- This ensures that  $R := P - Q^{-1}$  is in  $\Psi^{-(n+1)}(M, \mathcal{E})$ .
- In particular  $\text{Res}(P) = \text{Res}(Q^{-1})$ .
- By Step 2  $Q^{-1}$  is strongly meas. and  $\oint Q^{-1} = \frac{1}{n} \text{Res}(Q^{-1})$ .
- As  $R \in \Psi^{-(n+1)}(M, \mathcal{E})$ , by Step 1 it is strongly measurable and  $\oint R = 0$ .
- It follows that  $P = Q^{-1} + R$  is strongly measurable, and

$$\oint P = \oint Q^{-1} + \oint R = \frac{1}{n} \text{Res}(Q^{-1}) = \frac{1}{n} \text{Res}(P).$$



Proof.

**Step 4:**  $P^* = P$ .

- Here  $\sigma_{-n}(P)(x, \xi)$  is Hermitian for all  $(x, \xi) \in T^*M \setminus 0$ .
- Bearing in mind that  $S^*M$  is compact, set

$$c := \sup \{ \|\sigma_{-n}(P)(x, \xi)\|_x; (x, \xi) \in S^*M \} < \infty,$$

where  $\|\cdot\|_x$  is the norm of  $\text{End}(\mathcal{E}_x)$ .

- For  $(x, \xi) \in T^*M \setminus 0$ , we then have

$$\begin{aligned} \sigma_{-n}(P)(x, \xi) &\leq \|\sigma_{-n}(P)(x, \xi)\|_x \\ &\leq |\xi|_g^{-n} \|\sigma_{-n}(P)(x, |\xi|_g^{-n} \xi)\|_x \\ &\leq c |\xi|_g^{-n}. \end{aligned}$$



# Connes' Trace Theorem

## Proof.

- Let  $P_1 \in \Psi^{-n}(M, \mathcal{E})$  have principal symbol

$$\sigma_{-n}(P_1)(x, \xi) = (c + \epsilon)|\xi|_g \operatorname{id}_{\mathcal{E}_x}, \quad \epsilon > 0.$$

- Set  $P_2 = P_1 - P$ . Then  $P_2 \in \Psi^{-n}(M, \mathcal{E})$ , and

$$\begin{aligned}\sigma_{-n}(P_2)(x, \xi) &= \sigma_{-n}(P_1)(x, \xi) - \sigma_{-n}(P)(x, \xi) \\ &\geq (c + \epsilon)|\xi|_g^{-n} - c|\xi|_g^{-n} \\ &\geq \epsilon|\xi|_g^{-n} > 0.\end{aligned}$$

- As  $\sigma_{-n}(P_j)(x, \xi)$ , Step 3 ensures that each  $P_j$  is strongly measurable and  $\oint P_j = \frac{1}{n} \operatorname{Res}(P_j)$ .
- It then follows that  $P = P_1 - P_2$  is strongly measurable, and

$$\oint P = \oint P_1 - \oint P_2 = \frac{1}{n} \operatorname{Res}(P_1) - \frac{1}{n} \operatorname{Res}(P_2) = \frac{1}{n} \operatorname{Res}(P).$$

□

Proof.

**Step 5:** General case  $P \in \Psi^{-n}(M, \mathcal{E})$ .

- Put  $P = \Re P + i\Im P$ , with

$$P_1 = \Re P = \frac{1}{2}(P + P^*), \quad P_2 = \Im P = \frac{1}{2i}(P - P^*).$$

- Here  $P_1$  and  $P_2$  are selfadjoint operators in  $\Psi^{-n}(M, \mathcal{E})$ .
- By Step 4 each operator  $P_j$  is strongly measurable and  $\oint P_j = \frac{1}{n} \text{Res}(P_j)$ .
- It then follows that  $P = P_1 + iP_2$  is strongly measurable, and

$$\oint P = \oint P_1 + i \oint P_2 = \frac{1}{n} \text{Res}(P_1) + \frac{i}{n} \text{Res}(P_2) = \frac{1}{n} \text{Res}(P).$$

□

# Connes' Trace Theorem

## Consequence

- The NC integral makes sense for  $\Psi$ DOs of order  $\leq -n$
- The NC residue, however, makes for all integer order  $\Psi$ DOs.
- Therefore, the equality between the NC integral and the NC residue enables us to extend the NC integral to all  $\Psi$ DOs.
- This includes  $\Psi$ DOs that are not infinitesimals or are not even bounded.

## Definition

For any  $P \in \Psi^{\mathbb{Z}}(M, \mathcal{E})$  we set

$$\oint P := \frac{1}{n} \text{Res}(P) = \frac{1}{n} \int_M \text{tr}_{\mathcal{E}}[c_P(x)].$$

# Connes' Integration Formula

## Theorem (Connes' Integration Formula)

For every  $f \in C^\infty(M)$ , the operators  $f\Delta_g^{-n/2}$  and  $\Delta_g^{-n/4}f\Delta_g^{-n/4}$  are both strongly measurable, and we have

$$\int f\Delta_g^{-\frac{n}{2}} = \int \Delta_g^{-\frac{n}{4}}f\Delta_g^{-\frac{n}{4}} = c(n) \int_M f(x)\nu(g)(x),$$

where we have set  $c(n) = \frac{1}{n}(2\pi)^{-n}|\mathbb{S}^{n-1}| = (2\pi)^{-n}|\mathbb{B}^n|$ .

## Remarks

- ① We saw in Chapter 7 that the result for  $\Delta_g^{-n/4}f\Delta_g^{-n/4}$  continues to hold for all  $f \in L\log L(M)$ .
- ② The result for  $f\Delta_g^{-n/2}$  continues to hold for functions  $f$  such that  $|f|^2 \in L\log L(M)$ , including functions in  $L^p(M)$ ,  $p > 2$ .

# Connes' Integration Formula

Proof.

- $\Delta_g^{-n/2}$  is  $\Psi$ DOs of order  $-n$  with  $\sigma_{-n}(\Delta_g^{-n/2})(x, \xi) = |\xi|_g^{-n}$ .
- As  $f \in C^\infty(M)$ , this is a  $\Psi$ DO of order 0.
- So  $f\Delta_g^{-n/2} \in \Psi^{-n}(M)$  and  $\sigma_{-n}(f\Delta_g^{-n/2})(x, \xi) = f(x)|\xi|_g^{-n}$ .
- Thus, by Connes' trace theorem  $f\Delta_g^{-n/2}$  is strongly measurable, and we have

$$\begin{aligned}\int f\Delta_g^{-\frac{n}{2}} &= \frac{1}{n} \text{Res}(\Delta_g^{-\frac{n}{2}}) = \frac{1}{n} \int_{S^*M} \sigma_{-n}(f\Delta_g^{-\frac{n}{2}})(x, \xi) dx d\xi \\ &= \frac{1}{n} \int_{S^*M} f(x) |\xi|_g^{-n} dx d\xi \\ &= \frac{1}{n} (2\pi)^{-n} |\mathbb{S}^{n-1}| \int_M f(x) \nu(g)(x).\end{aligned}$$

- The result for  $\Delta_g^{-n/4} f \Delta_g^{-n/4}$  is proved similarly.





## Consequence

- Connes' integration formula shows that the NC integral recaptures the Riemannian volume density. Namely,

$$\int f \nu(g)(x) = c(n)^{-1} \int f \Delta_g^{-\frac{n}{2}} \quad \forall f \in C^\infty(M).$$

- We regard  $c(n)^{-1} \Delta_g^{n/2}$  as the NC volume element.
- The volume element is  $ds^n$ , where  $ds$  is the length element.
- Thus,  $ds$  as the  $n$ -th root of the volume element.

## Definition

The NC length element of  $(M^n, g)$  is the operator,

$$ds := \left( c(n)^{-1} \Delta_g^{-\frac{n}{2}} \right)^{\frac{1}{n}} = c(n)^{-\frac{1}{n}} \Delta_g^{-\frac{1}{2}}.$$

## Remark

$ds$  is a  $\Psi$ DO of order  $-1$ .

## Facts

- For  $k = 1, \dots, n$  the  $k$ -th dimensional volume is meant to be the integral of  $ds^k$ .
- Here  $ds^k$  is a  $\Psi$ DO of order  $-k$ .
- The NC integral has been extended to all  $\Psi$ DOs.
- This enables us to define  $k$ -dimensional volumes for all  $k = 1, \dots, n - 1$ .

## Definition

For  $k = 1, \dots, n$ , the  $k$ -th dimensional volume of  $(M^n, g)$  is

$$\text{Vol}_g^{(k)}(M) := \int ds^k = c(n)^{-\frac{k}{n}} \int \Delta_g^{-\frac{k}{2}}.$$

In particular, the length and area of  $(M, g)$  are

$$\text{Length}_g(M) := \int ds = c(n)^{-\frac{1}{n}} \int \Delta_g^{-\frac{1}{2}},$$

$$\text{Area}_g(M) := \int ds^2 = c(n)^{-\frac{2}{n}} \int \Delta_g^{-1}.$$

## Proposition

① If  $k$  and  $n$  have opposite parities (i.e.,  $n - k$  is odd), then  $\text{Vol}_g^{(k)}(M) = 0$ .

② If  $k = n - 2$ , then

$$\text{Vol}_g^{(n-2)}(M) = c(n, 2) \int_M \kappa_g(x) \nu(g)(x),$$

where  $\kappa_g(x)$  is the scalar curvature of  $(M, g)$ .

③ In general, we have

$$\text{Vol}_g^{(n-k)}(M) = c(n, k) \int_M I_g^{(k)}(x) \nu(g)(x),$$

where  $I_g^{(k)}(x)$  is a universal polynomial in the curvature tensor and its covariant derivatives.

## Remark

- The definition of the  $k$ -th dimensional volumes involved noncommutative geometry.
- However, the formulas in the previous slide provide purely differential-geometric expressions for the  $k$ -th dimensional volumes.

## Remark

- The functional  $g \rightarrow \int_M \kappa_g(x) \nu(g)(x)$  is called the Einstein-Hilbert action.
- It accounts for the contribution of gravity forces in the Standard Model from Theoretical Physics.
- We have

$$\begin{aligned} \int_M \kappa_g(x) \nu(g)(x) &= c(n, 2)^{-1} \int \Delta_g^{-n+2} \\ &= \frac{1}{n} c(n, 2)^{-1} \operatorname{Res} (\Delta_g^{-n+2}) \\ &= \frac{2}{n} c(n, 2)^{-1} \operatorname{Res}_{z=\frac{n}{2}-1} \operatorname{Tr} [\Delta_g^{-z}]. \end{aligned}$$

- This yields a spectral theoretic interpretation of the Einstein-Hilbert action.
- This an important ingredient in the spectral action formalism of Connes-Chamseddine.